## **Kemdiktisaintek–KONEKSI Joint Call for Proposals**

## **Grant Guidelines**

STAGE 1 – Expression of Interest (EoI)

Eol opening date: 12 March 2025
 Eol final enquiries/questions received: 7 April 2025
 Eol closing date: 14 April 2025

STAGE 2 – Call for Proposals/Grant Applications:

Grant Application opening date: 9 May 2025
 Stage 2 final enquiries/questions received: 2 June 2025
 Grant Application closing date: 9 June 2025

Enquiries: If you have any questions, please contact the Secretariat at <a href="mailto:cfpjointcallkemdiktisaintek@koneksi-kpp.id">cfpjointcallkemdiktisaintek@koneksi-kpp.id</a>

Date grant guidelines released: 10 April 2025 (3<sup>rd</sup> revision)

Expected grant start date: September 2025

Grant duration: Up to 31 December 2026

Type of grant opportunity: Open Competitive

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#### Process flowchart for Kemdiktisaintek-KONEKSI Joint Call

The Kemdiktisaintek–KONEKSI Joint Call, titled *Supporting an Equitable and Just Energy Transition in Indonesia* is designed to foster knowledge partnerships and international collaboration in research. The scheme is jointly funded, designed and implemented collaboratively between the Government of Indonesia and the Australian Government.

A special committee comprising Directorate General of Research and Development of the Indonesian Ministry of Higher Education, Science, and Technology and KONEKSI has been established to administer the Kemdiktisaintek–KONEKSI Joint Call, titled the Kemdiktisaintek–KONEKSI Joint Call Secretariat (hereafter 'The Secretariat')

	Grant opportunity opens	12 March 2025
<b>&gt;&gt;&gt;</b>	Guidelines will be published on the websites of BIMA and KONEKSI at <a href="https://bima.kemdiktisaintek.go.id/">https://bima.kemdiktisaintek.go.id/</a> and <a href="https://www.koneksi-kpp.id">www.koneksi-kpp.id</a> .	
<b>&gt;&gt;&gt;</b>	Create a login for the KONEKSI Management Information System (KONEKSI MISI)	12 March – 14 April 2025
	An application must be submitted collaboratively by an Australian and an Indonesian organisation, each serving as a Principal Organisation	
	A Principal Organisation Applicant must register through KONEKSI MISI to create a user account. Once registered, they can add the other Principal Organisations and partners into the MISI.	
	The KONEKSI MISI system can be accessed through both the BIMA and KONEKSI websites at <a href="https://bima.kemdiktisaintek.go.id/">https://bima.kemdiktisaintek.go.id/</a> and <a href="www.koneksi-kpp.id">www.koneksi-kpp.id</a> .	
<b>&gt;&gt;</b>	Access materials  The Secretariat has made available short videos that explain unique aspects of a Kemdiktisaintek–KONEKSI grant. Applicants must access and view these short videos before they can start their application.	12 March 2025 onwards
Stage 1	Expression of Interest	
<b>&gt;&gt;&gt;</b>	Stage 1 – Submit an Expression of Interest outlining your concept in brief	12 March – 14 April 2025
	Interested organisations prepare and submit a short Expression of Interest through the KONEKSI MISI system briefly outlining the research topic and response to	

<b>}</b>	assessment questions. See Annex 4 for a sample of the Expressions of Interest form, to be completed online at the KONEKSI MISI.  The topics for this round can be found on the BIMA and KONEKSI websites <a href="https://bima.kemdiktisaintek.go.id/">https://bima.kemdiktisaintek.go.id/</a> and <a href="https://bima.kemdiktisaintek.go.id/">www.koneksi-kpp.id</a> .  Consideration of Expressions of Interest  The Secretariat will assess all submitted Expressions of Interest against the assessment criteria.	15 April - 9 May 2025
<b>&gt;&gt;&gt;</b>	The Secretariat will notify you of the outcome  Applicants will be informed by email of the outcome of the Expression of Interest application with shortlisted applicants being invited to progress to Stage 2.  You may wish to list  cfpjointcallkemdiktisaintek@koneksi-kpp.id as trusted addresses in your email system.	9 May 2025
Stage 2	- Full application	
<b>&gt;&gt;&gt;</b>	Stage 2 – If invited to proceed to Stage 2, you may submit a full grant application through the KONEKSI MISI and BIMA system, building on your Expression of Interest, by the specified deadline. (See Annex 5.)  Indonesian Principal Organisation applicants must create an account and log in for the BIMA website at <a href="https://bima.kemdiktisaintek.go.id/">https://bima.kemdiktisaintek.go.id/</a> .	9 May – 9 June 2025
<b>&gt;&gt;&gt;</b>	The Secretariat will assess and rank all complete grant applications	June – July 2025
	Based on the expert peer review assessments, the Secretariat will prepare a list of top-ranked proposals. Incomplete applications will not be assessed.	
<b>&gt;&gt;&gt;</b>	Grant Decisions are made  Grant assessment results and recommendations will be proposed to the Kemdiktisaintek–DFAT Technical Committee for approval. This Committee comprises representatives of the Government of Indonesia and the Australian Government. Upon no-objection, both	August 2025

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	governments will then endorse the funding and priority of successful grants.	
Researce	Notification of the outcome  The Secretariat will advise the applicant – the Principal Organisation – of the outcome. Unsuccessful applicants will be notified once grant agreements have been executed with successful applicants.	August 2025
	The Secretariat enters into grant agreement discussion  The Secretariat will enter into a grant agreement with each successful Principal Organisation. This process will include final discussion on budget, IP clauses and project deliverables milestones with both Australian and Indonesian Principal Organisations.  KONEKSI will commence disbursement and administer the grant for the Australian organisation, while Kemdiktisaintek will commence disbursement and administer the grant for the Indonesian organisation.  The conditions of each agreement may vary based on the nature of the activity and any project-specific risks.	August 2025
<b>&gt;&gt;</b>	Delivery of grant  Grantees undertake the grant activity as set out in their individual grant agreements. The Secretariat will work with Principal Organisations, monitoring progress and making grant milestone payments. The Secretariat will liaise with the Principal Organisations to determine how to maximise the success of the project in terms of partnerships, GEDSI and impact.	September 2025

#### 1. About the Kemdiktisaintek-KONEKSI Joint Call

The Kemdiktisaintek–KONEKSI Call provides opportunities for Australian and Indonesian organisations to conduct collaborative research on the theme of *Supporting an Equitable and Just Energy Transition in Indonesia*. Kemdiktisaintek and KONEKSI will provide opportunities for partnerships to access international expertise, resources, training and relevant information to support and advance equity in partnerships.

The Kemdiktisaintek–KONEKSI Joint Call is an initiative designed to forge partnerships that generate knowledge to support innovation. The scheme is jointly funded, designed and delivered as a special initiative by the Government of Indonesia and the Australian Government. It aims to support partnerships between Australian and Indonesian institutions to carry out and disseminate high-quality, multidisciplinary applied research on priorities of the Indonesian Government.

## 2. Background to Kemdiktisaintek, LPDP and KONEKSI

#### 2.1. About Kemdiktisaintek

The Ministry of Higher Education, Science, and Technology (Kemdiktisaintek) is the government authority responsible for overseeing government affairs related to higher education, encompassing education, research and the governance of science and technology.

The Directorate General of Research and Development, as part of the ministry, is tasked with the formulation, coordination and synchronisation of policy implementation with regard to research. This includes analysis, evaluation and reporting on research activities within institutions of higher education. Additionally, the Directorate General is responsible for facilitating research collaborations with international representatives and institutions.

#### 2.2. About LPDP

The Indonesia Endowment Fund for Education (Lembaga Pengelola Dana Pendidikan/LPDP) is a non-echelon unit within the Ministry of Finance that manages government affairs in the field of state finance. It applies the financial management pattern of public service bodies and manages Endowment Funds in the field of education according to legal regulations.

One of the Endowment Funds in Education managed by LPDP is the Endowment Fund for Research, which is accumulated as an endowment and whose distributions are used for research, development, evaluation and application to generate inventions and innovations.

#### 2.3. About KONEKSI

Funded by the Australian Government Department of Foreign Affairs and Trade (DFAT) and implemented in collaboration with the Ministry of National Development Planning (Bappenas), the National Agency for Research and Innovation Agency (BRIN), and Ministry of Higher Education, Science, and Technology (MoHEST), KONEKSI is Australia's flagship program in Indonesia's knowledge and innovation sector. It is a 5 year bilateral program (2023–2027) that seeks to foster a lasting relationship between Australia and Indonesia by advancing research in areas of shared interest.

The objective of the KONEKSI grant program is to provide funding for innovative research and initiatives which strengthen Australia's research and institutional links with Indonesia and deepen mutual understanding and cooperation between Australia and Indonesia. KONEKSI's ultimate goal is to contribute to an enduring relationship between Indonesia and Australia that advances mutual interest and supports Indonesia's inclusive and sustainable development.

The purpose of the program is to increase the use of knowledge-based solutions for inclusive and sustainable policies and technologies. This purpose positions KONEKSI is as a vehicle to produce knowledge on complex socio-economic problems, where effective solutions are often not found within the purview a discrete sector or category of stakeholders. It provides complementary and synergistic support for the knowledge-to-policy (K2P) and knowledge-to-innovation (K2I) cycle.

Building on existing experience, expertise and relationships and reflecting the maturity of the Indonesia–Australia bilateral relationship, KONEKSI seeks to develop knowledge that is relevant to both countries and that contributes to Indonesia's transition to a knowledge-based economy.

KONEKSI has 2 End of Investment Outcomes (EOIOs):

- Australian and Indonesian organisations have increased collaboration and mutual understanding through knowledge partnerships.
- Innovative solutions for inclusive and sustainable policies and technologies are generated by knowledge partnerships.

Further information on the KONEKSI program is available at <a href="www.koneksi-kpp.id">www.koneksi-kpp.id</a>.

#### 2.4. About this document

This document provides information and guidance on the *Kemdiktisaintek–KONEKSI Joint Call for Proposals: Supporting an Equitable and Just Energy Transition in Indonesia* grants. This document sets out:

- the topic map (Annex 1)
- purpose of the grant opportunity
- eligibility for grants
- assessment processes and criteria for grants
- how grant applications are monitored and evaluated
- responsibilities and expectations of the Principal Organisations and partners.

You must read this document before filling out an application.

# 3. About the Call for Proposals: Supporting an Equitable and Just Energy Transition in Indonesia

Kemdiktisaintek and KONEKSI seek applications from Australia—Indonesia collaborative research partnerships to undertake research to support Indonesia's priorities on energy transition. In this grant round, Kemdiktisaintek and KONEKSI welcome proposals on the research theme of Supporting an Equitable and Just Energy Transition in Indonesia.

Australia is committed to strengthening and deepening its partnership with Indonesia to promote prosperity, stability and resilience for sustainable economic development. The Australia—Indonesia Development Partnership Plan 2024—28 describes how Australia will work together with Indonesia on shared priorities such as equitable and sustainable economic development, human development, climate change (including energy transition, resilience and governance), locally-led development, and gender equality, disability and social inclusion (GEDSI). Indonesia's National Long-Term Development Plan 2024—45 (RPJPN) and Visi Indonesia Emas 2045 outline Indonesia's aspiration to achieve high-income-country status by 2045, while transitioning to renewable energy and building community resilience to the impacts of climate change to reduce inequality and vulnerability.

Indonesia and Australia face common challenges in transitioning their economies to net zero, and both countries recognise the need for innovative approaches to drive this change. Australia and Indonesia are committed to working together to support Indonesia in adapting its energy sector to scale renewable energy and ensure a just transition which balances development goals and societal expectations. In line with the Paris Agreement, a just energy transition recognises the rights of vulnerable groups and integrates gender equality, disability equity and social inclusion in climate action.

The partnership offers opportunities for both countries to identify areas for collaboration, including in strengthening the legal and regulatory environment for public and private investment in technology, bolstering environmental protections and encouraging transition to 'green economic development'. It also offers opportunities for knowledge-sharing and innovation and for building the capabilities and resilience of communities to better respond to energy transition.

#### 3.1. Challenges and opportunities for the Kemdiktisaintek-KONEKSI Joint Call

This grant opportunity is designed to support energy transition in the following target areas:

- Developing inclusive technology solutions.
- Advancing inclusive workforce and participation and access to community financing.
- Building inclusive social and environmental safeguards and processes.

To help align applicant research with the goals of this funding call, these topics and areas for energy transition project applications are discussed in further detail in Annex 1 – Topic map, focusing specifically on the development of technology and aims to prioritise projects addressing Technology Readiness Level (TRL) 1–9.

## 4. Objectives of CfP: Supporting an Equitable and Just Energy Transition in Indonesia

The research theme of Supporting an Equitable and Just Energy Transition in Indonesia was agreed jointly between the Australian and Indonesian governments to support collective efforts to meet the target of net zero emissions. The Kemdiktisaintek–KONEKSI Joint Call for Proposals is a special initiative designed to foster Australia—Indonesia partnerships that generate knowledge and evidence to inform innovation. The partnerships will carry out and disseminate high-quality, multi-disciplinary applied research to address complex socioeconomic challenges by involving and leveraging expertise from a range of stakeholders such as government, the private sector, think-tanks, universities and communities. This grant opportunity is designed to encourage applied research that informs innovative, sustainable, efficient and equitable energy transition in Indonesia.

The main objectives of the Kemdiktisaintek–KONEKSI Joint Call for Proposals are to:

- Identify the socio-economic impacts of energy transition on communities and model approaches to ensure sustainable growth, job creation and equitable benefits from energy transition and partnerships.
- Explore potential sustainable technology solutions, including developing alternative
  energy governance frameworks to ensure meaningful participation in decision
  making by government, the private sector, civil society and the community. This may
  include co-development of local solutions using local knowledge and resources to
  ensure affordability, accessibility and sustainability of renewable and clean energy
  innovations and approaches in different locations across Indonesia.
- Contribute to the development and strengthening of national and local policies and regulations to inform the implementation of effective and equitable energy transition.
- Explore the role of innovative blended finance mechanisms in promoting greater access to finance and broadening participation for vulnerable groups (including women and people with disability) in the market transition towards net zero. This may include technology and opportunities for high-quality climate finance.

This collaborative grant scheme will enable new and strengthened partnerships between Indonesian and Australian knowledge organisations that ensure:

- the generation of rigorous evidence to inform the design and development of technologies that will lead to inclusive, innovative and sustainable energy systems and services for all populations.
- that vulnerable communities (including women and people with disability) understand, have access to, benefit from and can capitalise on energy transition development.
- that communities, industry and government are empowered to take positive action, coordinate, and implement energy transition initiatives.

The CfP: Supporting an Equitable and Just Energy Transition in Indonesia will not support:

- research that does not involve collaboration between Australia and Indonesia
- research that is based outside of either Australia or Indonesia
- research that is not applied research with clear impact outcomes.

## 4.1. Kemdiktisaintek–KONEKSI Joint Call – funding thresholds, timeframe and eligible cost

The total funding available for the **CfP: Supporting an Equitable and Just Energy Transition in Indonesia** is up to AUD2,000,000 from DFAT and up to IDR20,000,000,000 from Kemdiktisaintek, which will be dispersed to a select number of high-quality, multi-discipline and diverse research projects across the priority area of energy transition.

Please note that this round of funding <u>will not</u> support knowledge-to-policy applications. Instead, it <u>will focus</u> on development of technology and aims to prioritise projects addressing Technology Readiness Level (TRL) 1–9.

For the **CfP:** Supporting an Equitable and Just Energy Transition in Indonesia, the maximum threshold of funding for any individual project will be up to AUD300,000 (*Bertunas*/Seeding), AUD400,000 (*Bertumbuh*/Growing) or AUD500,000 (*Berbuah*/Flourishing).

The Secretariat encourages consortiums to develop appropriate research budgets based on the objectives and needs of the research. Research budgets will be evaluated based on value for money principles regardless of category. Individual funding tranches will be agreed upon at the outset, aligned with key milestones and stipulated in each grant agreement with the Principal Organisations.

The timeframe for every Kemdiktisaintek–KONEKSI joint project, from commencement to completion, must not run past 31 December 2026. This timeframe should include the time required to obtain necessary research permits and ethics clearances, and all report writing and dissemination processes relevant to the grant. The Secretariat works with BRIN to provide an expedited service for the research permit. Processing time is estimated to be around one month.

## 5. About the grant application process

#### 5.1. Overview of application process

You must read these grant guidelines and the application form before you submit an application to the KONEKSI Management Information System (KONEKSI MISI) and BIMA system.

You are responsible for ensuring that your application is complete and accurate. Giving false or misleading information will exclude your application from further consideration, and potentially also from future grant opportunities under the Kemdiktisaintek–KONEKSI Joint Call.

For any Expression of Interest application (Stage 1) and full application (Stage 2), you must address all eligibility and assessment criteria. Please complete each section of the respective submission forms online through the KONEKSI Management Information System (KONEKSI MISI) and ensure you provide all the information requested. It is your responsibility to ensure any clearances and approvals within your university (research office or directors of schools) or other entity have been received prior to submitting your application.

Please keep copies of your submissions and any supporting papers. The Secretariat may contact you regarding your application. You may want to add <a href="mailto:cfpjointcallkemdiktisaintek@koneksi-kpp.id">cfpjointcallkemdiktisaintek@koneksi-kpp.id</a> to your approved senders list.

#### 5.2. The grant selection process

The **CfP: Supporting an Equitable and Just Energy Transition in Indonesia** grants process will be undertaken through a 2-stage process to help focus the effort of applicants.

#### Stage 1: Expressions of Interest (EoI)

Initially, an open call for Expressions of Interest (EoI) (referred to as Stage 1) will be undertaken for a high-level research concept against the grant focus areas and initial eligibility criteria. These will be assessed by the Compliance Committee from the Secretariat.

Approved EoI applicants will be invited to progress to Stage 2.

#### **Stage 2: Grant applications**

In Stage 2, invited applicants will submit a full grant application containing detailed responses to the selection criteria.

These will be reviewed by a Compliance Committee within the Secretariat (for due diligence and budget) and Expert Peer Reviewers (for academic merit) comprising Australian and Indonesian academics and experts.

All eligible partnerships that submit a grant proposal will be assessed against selection criteria and the process and outcome of each selection round will be documented. The criteria ensure the selected proposals entail diverse partnerships capable of investigating pertinent topics with methodological rigour, and able to perform and deliver results commensurate with the funding made available in each grant type.

#### 5.3. Expected timing for the application process

To be considered, you must submit your application(s) to the Secretariat by the closing date shown in Table 1 below.

Table 1: Expected timing for the application process

Activity	Time
Expressions of Interest period	Open: 12 March 2025
	Close: 14 April 2025
Invitation to submit full grant application	9 May 2025
Full grant applications due	9 June 2025
Approval of outcomes of selection	July 2025
process	
Negotiations and award of grant	August 2025
agreements	
Notification to unsuccessful applicants	August 2025
Activity commences	September 2025
Duration of Grant	Up to 31 December 2026

#### 5.4. Language and format requirements

The Expression of Interest (EoI) can be written either in Indonesian or English. The full proposal must be submitted in English.

The EoI must be submitted electronically via the KONEKSI Management Information System (KONEKSI MISI). The stage two of the full proposal technical application must be submitted via KONEKSI MISI and later be uploaded in the BIMA system. Applications sent by fax or mail/post will not be accepted.

#### 5.5. Questions during the application process

If you have any questions during the application period, please contact the Secretariat at <a href="mailto:cfpjointcallkemdiktisaintek@koneksi-kpp.id">cfpjointcallkemdiktisaintek@koneksi-kpp.id</a>. The Secretariat will respond to emailed questions within 5 working days.

Answers to questions will be posted on the KONEKSI website at www.koneksi-kpp.id.

Applicants may seek assistance from the Secretariat in Indonesian or English.

## 6. Eligibility

#### 6.1. Eligibility requirements for applications

#### What are the eligibility requirements for applications?

Applications must be comprised of a partnership between one Australian and one Indonesian principal institution. The organisations listed in the proposal are expected to stay involved for the duration of the project.

An application must be submitted collaboratively by an Australian and an Indonesian principal organisation.

Only one Australian organisation will be the grant recipient of KONEKSI and one Indonesian organisation will be the grant recipient of Kemdiktisaintek. These organisations will be the 'Principal Organisations'. Any partner entity will be referred to as a 'Partner Organisation' and will become a sub-contractor of one of the Principal Organisations.

The Principal Organisations will need to contract the partner(s).

#### Eligibility criteria for the Principal Organisation are as follows:

- Must meet the Secretariat due diligence requirements and have the administrative and financial capacity to make the necessary disbursements to all other project partners. Please refer to Section 12 Preparing the commencement of your grant.
- Must be based either in Australia or Indonesia. Note: Australian higher education institutions based in Indonesia are still considered Australian institutions.
- To be an Australian Principal Organisation, you must be one of the following entity types:
  - Australian research entity with an Australian Business Number (ABN),
     Australian Company Number (ACN) or Indigenous Corporation Number (ICN)
  - Australian entity/company with an Australian Business Number (ABN),
     Australian Company Number (ACN) or Indigenous Corporation Number (ICN)
  - o Australian registered charity or not-for-profit organisation
  - Australian local government agency/body
  - Australian federal/state/territory agency/body
  - Australian statutory authority.
- To be an Indonesian Principal Organisation, you must be an Indonesian Higher Education institution under Kemdiktisaintek.
- Requirements for Indonesian Principal Investigator:
  - Must be an Indonesian citizen (WNI), hold a doctoral degree (S3), be a permanent lecturer until the end of the project period, and not be currently studying.
  - Must have a research track record relevant to the proposed research. Team members must have either a relevant research track record or clearly defined roles in the research project where their skill sets provide added value.
  - At the proposal stage, the Indonesian principal investigators and the research team members must submit curricula vitae with their research track record detailed in the research experience section.

#### Eligibility criteria to be a Partner Organisation are as follows:

- Must be relevant to and involved in the project.
- Must be based in Australia or Indonesia.
- Must be one of the following entity types:

- o an Indonesian research entity with appropriate legal registration, for example a university, civil society organisation, women's organisation or Organisation of People with Disabilities (OPDs)
- o an Australian research entity with an Australian Business Number (ABN), Australian Company Number (ACN) or Indigenous Corporation Number (ICN)
- o an Indonesian entity/company with appropriate legal registration
- an Australian entity/company with an Australian Business Number (ABN), Australian Company Number (ACN) or Indigenous Corporation Number (ICN)
- o an Australian or Indonesian registered charity or not-for-profit organisation
- an Australian or Indonesian local government agency/body
- o an Australian or Indonesian state/territory/provincial government agency/body
- o an Australian or Indonesian federal/national government agency/body
- o an Australian statutory authority.

#### Other requirements and guidance

- The Indonesian research team must include at least one PhD student from a nonvocational institution. If the institution is from a vocational institution, they must involve their active students in the research team members.
- The Indonesian research team must include at least one local higher education institution in each of the research location.
- All Indonesian Principal Organisations are required to assist international researchers in obtaining research permits from BRIN. The time needed for permit approval and visa processing should be factored into the overall project timeline, with an estimated duration of up to two months.
- All organisations (Principal and Partner) must meet the Secretariat's due diligence and integrity policy requirements, ensuring that potential recipients are not included on registers of ineligible firms and organisations, sanctions or terrorist organisations. This includes the capacity for the organisation to undertake any national interest tests required by either Indonesian or Australian research organisations.
- All organisations (Principal and Partner) must be willing to provide or develop child protection guidelines for their project if it involves people under the age of 18 years or other vulnerable people and adhere to DFAT Safeguards:
  - o Child Protection Policy
  - o Preventing Sexual Exploitation, Abuse and Harassment Policy
  - o <u>Environmental and Social Safeguard Policy</u>
- All participating organisations must be based in either Australia or Indonesia. You are not eligible to apply if you are not:
  - an Australian, a permanent resident of Australia, an Australian organisation or based within an Australian organisation or
  - o an Indonesian, a permanent resident of Indonesia, an Indonesian organisation or based within an Indonesian organisation.

#### 6.2. Eligible grant activities

#### 6.2.1. What the grant funds can be used for

The grant's proposed costs must be allowable, reasonable and directly allocable to the supported activity. KONEKSI will fund the costs managed by the Australian organisations, and Kemdiktisaintek will fund the costs managed by the Indonesian organisations.

An application will need to separate out costs to be covered by KONEKSI and Kemdiktisaintek. This will be further explained at the Budget Template which will be made available at Stage 2 – Full Proposal.

The table below outlines the allowable costs for the grant:

#### KONEKSI

- a. Fee for KEMDIKTISAINTEK Research permits. Note: A project that includes a BRIN Research Organisation as a member of the consortium can apply for an exemption from this fee.
- A limited contribution to running costs is reasonable, including a percentage of salary or overhead costs.
- c. All direct research expenses, including travel reimbursement to organisational site(s) of the Australian researcher.
- d. Reimbursement of travel for focus group participants.
- e. Costs for software needed.
- f. Data collection (videotapes, web survey preparation), preparation and coding.
- g. Economy flights, modest accommodation costs, meals and travel allowances, other transport.
- h. Cost of visa to Indonesia and Australia.
- i. Communication and translation.
- j. Venue hire and catering.
- Advertising and promotion, graphic design, photography and printed material.
- I. Production costs, including freight and artists' wages.
- m. Conference attendance, when at least one participant from the project or meeting is speaking and the

#### **KEMDIKTISAINTEK**

- a. Indirect Costs with a maximum value of 5% (five per cent) of the total funding value intended for internal monitoring, administrative costs and/or other costs (including costs for developing the institution that houses the head researcher) to support research activities, as well as management costs for research institutions.
- b. Direct personnel costs can be used for research team salary/honorarium and research team administrator salary/honorarium. The amount of funding or the salary/honorarium of the proposing team is a maximum of 30% of the total funding and is regulated in the highest cost unit, as follows:
  - Indonesian Principal Investigator at IDR3,600,000/month
  - Co-Principal Investigator at IDR2,400,000/month
  - o Assistant at IDR1,500,000/month
  - Administrator at IDR820,000/month.
- Purchase/procurement of goods/consumable materials, such as raw materials or production components and/or references/data/books and office stationery.
- d. Purchase/procurement/rental of laboratory equipment/land/animals for observation or testing purposes,

- conference is of direct relevance to the grant opportunity.
- n. Reasonable accommodation (including carer costs).
- Costs for Indonesian partners that are deemed unallowable by Kemdiktisaintek (for example: copy editing, carer or reasonable accommodation costs).
- including laboratory or industrial testing services.
- e. Organising or participating in Focus Group Discussions (FGD)/capacity building/training, surveys, outreach, seminars or dissemination.
- f. Domestic travel.
- g. Wages/honorarium for field workers, experts, resource persons, surveyors, observers or respondents.
- h. Registration/processing of product or technology certification, or Intellectual Property such as processing of patents or copyrights or other Intellectual Property and Indonesian National Standards (SNI), or compliance with other standards, including registration/processing of permits related to conducting research.
- i. Registration of scientific articles.
- j. Overseas travel to take part in Focus Group Discussions (FGD)/capacity building/training, testing, surveys, observations, outreach, seminars or dissemination. Maximum IDR150,000,000 per research year.

Note: It is the applicant's responsibility to ensure that the total value of the proposed funding, including applicable taxes or other fees, complies with relevant statutory provisions.

Technology costs should only be included if the technology is a critical component of the proposed project. The grant cannot include tuition payments or any payments to self or advisor.

You will only be able to use the grant to pay for costs detailed and agreed in your budget and grant agreement. Organisations which are currently a recipient of Australian Official Development Assistance (ODA) or Kemdiktisaintek funding will need to disclose this and demonstrate that the submitted application/project does not duplicate this funding.

#### 6.2.2. What the grant funds cannot be used for

You cannot use a KEMDIKTISAINTEK-KONEKSI Joint Call for:

KC	DNEKSI	KE	KEMDIKTISAINTEK	
a. b.	Capital expenditure, including purchase of real estate and vehicles. Covering of retrospective costs or recurrent funding of activities. Activities that are already commercially	a. b. c. d.	Purchase of land. Purchase of operational vehicles. Construction of buildings/offices. Guarantees and loans to other parties.	
d. e.	advantage to the applicant (e.g. promotion of the applicant's own business).  Costs incurred in the preparation of a grant	e. f.	Grants or assistance in the form of cash to other parties or the community.  Other uses that are not relevant to achieving research outcomes.	
f.	application or related documentation. Activities undertaken by primary or high schools, including study tours, where travel by a significant number of students is the principal element of the proposal.	g. h. i.	Scholarships for individual students. Copying, binding or printing. Completed projects.	
g.	Activities for which other Commonwealth, State, Territory or Local Government bodies have primary responsibility (e.g. academic research, assistance to business, development assistance projects).			
h. i.	Scholarships to individual students. Completed projects.			

## 7. Funding categories

#### 7.1. Grant and project types

Funding amounts will be determined by the maturity of the research stage and activity, existing work in the relevant area and impact strength of the research based on networks and experience. The Secretariat will seek to fund a mixture of development research and mature research activity and encourages projects at all stages to apply.

Applications for the funding categories will be assessed against other applications in the same category. For example, 'seeding' grant applications will only be assessed against other seeding grant applications and will not compete against the 'growing' category. An indication of the funding categories is represented in Table 2 below.

Table 2: Funding categories – grant and project types, purpose and impact

Grant type	Bertunas (Seeding)	Bertumbuh (Growing)	Berbuah (Flourishing)
Funding duration	Up to 31 December 2026	Up to 31 December 2026	Up to 31 December 2026
Funding amount Purpose	Up to AUD300,000  Note: Maximum budget allocation is AUD150,000 and IDR1,500,000,000  To establish	Up to AUD400,000  Note: Maximum budget allocation is AUD200,000 and IDR2,000,000,000  To build on existing efforts.	Up to AUD500,000  Note: Maximum budget allocation is AUD250,000 and IDR2,500,000,000  To advance research.
	groundwork.  For nascent efforts to support new and emerging ideas that will contribute to technology.  Exploratory research; early concept development; scoping studies; feasibility studies; identify and establish engagement with government/ industry, identify endusers; to raise awareness among government officials and industry leaders about an urgency or need; demonstrate the practical relevance and potential impact of the research outcomes on industry practices.	For moderately developed efforts, with potential for generating relevant impact towards technology.  Existing research efforts to advance the development of technology; refine or improve early-stage concepts or prototypes, evaluate the usability, effectiveness and impact of technology; strengthen collaborative processes and capacity to produce quality research on relevant topics; proof of concept, prototypes.  To establish robust engagement that aligns with industry needs; enhances the chances of adoption and implementation; contribution to new or changed of content locally, nationally, internationally.	For highly developed, mature research efforts towards technology.  Well-established and mature projects to produce high-quality research on relevant topics.  To promote system-wide change by introducing innovative approaches, tools and solutions that transform conventional practices, processes and attitudes, benefiting entire systems and sectors; contribution to changes in ways of working, in beliefs/govern current ways of thinking.
Impact sought	To raise awareness; engagement with industry; evidence to	Early stage applied research; increased industry awareness and acknowledgement of	New or changes to an existing practice, systems, products,

build understanding of research landscape/stakeholder environment; identified changes towards practice, systems, products, capacity development; development of inclusive methodologies; proof of feasibility study/ideation.

Technology Readiness Level: 1-2-3 (for full definition see <u>Annex 2</u>) and that products prioritise ethics and, safety in all design phases. research outcomes; application of inclusive methodologies; engagement with end-users or industry; contribution to new or improved products or technologies (improvements to the environment, direct development, testing or scaling)/incubation.

Technology Readiness Level: 4-5-6 (for full definition see Annex 2) and that products prioritise ethics and safety in all design phases.

capacity, leading to system-wide change; publication; use of evidence of community perspectives and experiences; user evaluation of prototypes; product testing/acceleration.

Technology Readiness Level: 7-8-9 (for full definition see <u>Annex 2</u>) and that products prioritise ethics and safety in all design phases.

## 7.2. Past experience and evidence required

Table 3: Funding categories – past experience and evidence required

Grant type	Bertunas (Seeding)	Bertumbuh (Growing)	Berbuah (Flourishing)
Evidence of	Principal	Principal Organisation	Principal Organisation and
eligibility	Organisation and	and partners	partners demonstrate
through the	partners	demonstrate research	knowledge of previous or
experience of	demonstrate	experience on the	existing research in the field,
participants and	research experience	issue.	demonstrate prior funding and
project partners involved.  Please address	on the issue or demonstrate technical experience in implementing similar activities,	Demonstrated technical experience in implementing similar activities (research or projects).	demonstrate range of outputs (academic articles, technical frameworks, commercialisation) relevant to proposal work.
the following, as relevant to your proposed project type, indicating relevant past	methodologies, frameworks.  Demonstrate alignment to Technology	Evidence of prior research development relevant to the topic to substantiate opportunity for further	Demonstrate past efforts to conduct research in the area of focus; provide evidence that foundational efforts have

experience that will be used to conduct your research and guide your efforts to make appropriate impact.

Readiness Level: 1-2-3 (for full definition see Annex 2)

development within the grant.

Evidence of performance in Indonesia related to the proposed research objective.

Track record of previous project/funding/range of outputs (academic articles, technical frameworks, inclusive methodologies, commercialisation) relevant to proposal work.

Demonstrate alignment to Technology Readiness Level: 4-5-6 (for full definition see Annex 2). already been undertaken in the area of focus.

Demonstrate the presence of relevant stakeholder networks to support building and generating research impact.

Demonstrate previous efforts to ensure that a research activity has been able to leave a positive impact, including strategies for translation.

Evidence of past joint research aiming for sector reform or technological advancement.

Demonstrate experience and strategies used to manage successful international research collaboration between Australian and Indonesian partners.

Demonstrate alignment to Technology Readiness Level: 7-8-9 (for full definition see Annex 2).

## 8. Preparing your application

In preparing your application, please familiarise yourself with the material below on the unique aspects of a Kemdiktisaintek–KONEKSI Joint Call and access the video materials (available at the Kemdiktisaintek and KONEKSI websites <a href="https://bima.kemdiktisaintek.go.id/">https://bima.kemdiktisaintek.go.id/</a> and at <a href="https://bima.kemdiktisaintek.go.id/">www.koneksi-kpp.id</a>). You will need the information below to prepare for your EoI – and the full proposal, should your project be selected.

The below sections provide information about the three (3) unique aspects of a Kemdiktisaintek–KONEKSI Joint Call: partnerships, GEDSI and impact.

#### 8.1. Partnerships

Partnerships are an integral component of the Kemdiktisaintek–KONEKSI Joint Call design. The Secretariat values Indonesian and Australian research partnerships from a number of perspectives as listed below.

**Table 4: Partnerships** 

Multi-disciplinary approach to address complex problems.	Research partnerships bring together complementary skills, knowledge and researchers, offering a way of working beyond single-discipline approaches.	
Combining resources for greater impact.	Research partnerships provide opportunities for the best use of scarce resources and provide avenues for multiple in-kind and monetary contributions.	
Combine multiple sets of knowledge in order to create new knowledge.	Research partnerships offer the opportunity for new actors to contribute to a transdisciplinary agenda and define multiple pathways for practice influence.	
Challenge conventional approaches to production of knowledge.	Research partnerships offer the opportunity to build new and more equitable practices in the production and reproduction of knowledge.	

Applications that are invited to Stage 2 (full proposal stage) are assessed on the strength and suitability of partnerships. The partnerships component of the assessment is weighted 25% of the overall technical component. The focus of the assessment is on suitability and the potential for partnerships to create opportunities that might generate innovative forms of knowledge or technology transfer, and the potential for local benefit, for example in skills and networks, including opportunities to engage internationally.

#### 8.2. Partnership plan

The Secretariat aims to support a diverse set of partnerships that spans the 2 nations, state and non-state actors and academic disciplines. The Secretariat supports new and established partnerships to develop applied research towards Indonesia's development goals. Equity principles are fundamental to this Partnerships agenda.<sup>1</sup>

After being granted a Kemdiktisaintek–KONEKSI Joint Call, consortia partners are expected to develop a short partnership plan together with all partners to acknowledge, identify and respond to existing and potential inequities within partnerships between countries and regions, disciplines, hierarchies, genders and ability/disability. The intent of this partnership plan is to maximise the potential of the proposed partnership to create opportunities to generate innovative forms of knowledge or technology transfer and generate potential for local benefit (to increase skill, networks and connections).

<sup>&</sup>lt;sup>1</sup> KONEKSI developed the KONEKSI Partnerships Approach based on research and interviews with experts. See Bexley and Williams (2024) 'Partnering for success: four tips for getting research collaborations right in Indonesia' <a href="https://indonesiaatmelbourne.unimelb.edu.au/partnering-for-success-4-tips-for-getting-research-collaborations-right-in-indonesia/">https://indonesiaatmelbourne.unimelb.edu.au/partnering-for-success-4-tips-for-getting-research-collaborations-right-in-indonesia/</a>.

The 5 pillars of the Partnership Plan are as follows:

Table 5: Five pillars of the Kemdiktisaintek-KONEKSI Partnership Plan

Five pillars of Partnerships		
Individual and organisational contributions	<ul> <li>What sets of diverse and complementary knowledges contribute to designing the research questions?</li> <li>Are you drawing on the same or different sets of knowledges – e.g. academic, global north/global south, practitioner, policymaker?</li> <li>In what ways do partners offer unique and specific contributions to answer the research questions? Are there any areas of expertise missing?</li> </ul>	
Goals and Objectives	<ul> <li>Are there different, or even competing goal and objectives? e.g. Academic vs NGO goals.</li> <li>Is it possible to co-develop a set of mutual goals or is one dominant and, if so, why?</li> </ul>	
Relationships	<ul> <li>What are the ways of working and dealing with challenges/disagreements and transition of people involved?</li> <li>Focal points for partnership and communications.</li> <li>Communication protocols internally and externally.</li> </ul>	
Resources	<ul> <li>Distribution of resources across partners.</li> <li>Addressing inequities through resourcing, such as reasonable accommodation for disability, mentoring for juniors, access to journals.</li> </ul>	
Work Processes	<ul> <li>Business processes of activities/research outputs.</li> <li>Expected research outputs and responsibility, proportional percentage of contribution towards outputs.</li> <li>Authorship and Intellectual property.</li> <li>Communications and dissemination of research findings, roles and responsibilities.</li> </ul>	

#### 8.3. GEDSI in research

Increasing and advancing Gender Equality, Disability and Social Inclusion (GEDSI) is a core objective of the Kemdiktisaintek–KONEKSI Joint Call. Applications that are invited to Stage 2 (full proposal stage), are further assessed on the basis of GEDSI principles and weighted 25% of the overall technical component mark.

#### 8.3.1. GEDSI markers

To help articulate your project's integration of GEDSI, the Secretariat has developed GEDSI markers. This is a way for applicants to identify their project's intentions about GEDSI, and for assessors to judge the claims made about GEDSI throughout the application. In line with

international best practice,<sup>2</sup> the Secretariat will use 4 GEDSI markers to refer to each of the focus areas of gender equality (Table 6), disability (Table 7) and social inclusion (Table 8). The 4 markers ensure that every project can contribute to Kemdiktisaintek–KONEKSI Joint Call GEDSI outcomes and that **all GEDSI efforts are recognised**.

An application must meet the minimum requirement of at least one of the GEDSI markers to indicate level of effort: none/unaware, sensitive, responsive or transformative. The use of markers provides an opportunity to self-assess, as well as the possibility to choose more than one category across gender, disability and social inclusion to identify intersectionality. Applications will not be disadvantaged on the number of different markers used. Applicants are encouraged to choose the GEDSI markers most relevant to their project.

The markers indicate the level of effort and focus of GEDSI in each project and allows the full spectrum of efforts to contribute towards the Secretariat's GEDSI goals. Additionally, the GEDSI markers will assist the Secretariat in monitoring its budget targeting GEDSI, aligning with DFAT's recommendation that adequate funding be set aside for ensuring the program or strategy development process is gender and disability inclusive and accessible.<sup>3</sup>

#### 8.3.2. Gender Equality Marker

The Gender Equality Marker is a tool for identifying and assessing the degree to which grant applications have adopted considerations to promote gender equality and uphold the rights of women in their objectives, activities and partnership arrangements. Based on this assessment, grant applicants must select a category: none/unaware, sensitive, responsive or transformative.

<sup>&</sup>lt;sup>2</sup> Handbook on the OECD-DAC Gender Equality Policy Marker, <a href="https://www.oecd.org/dac/gender-development/Handbook-OECD-DAC-Gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-development/Handbook-OECD-DAC-Gender-Equality-Policy-Marker.pdf</a>. UN Development Group (2013) <a href="https://www.oecd.org/dac/gender-development/Handbook-OECD-DAC-Gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-Gevelopment/Handbook-OECD-DAC-Gender-Equality-Policy-Marker.pdf</a>. UN Development Group (2013) <a href="https://www.oecd.org/dac/gender-development/Handbook-OECD-DAC-Gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-Equality-Marker Guidance-Note</a>, UN Development Group (2013) <a href="https://www.oecd.org/dac/gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-Equality-Marker.pdf</a>. UN Development Group (2013) <a href="https://www.oecd.org/dac/gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-Equality-Marker.pdf</a>. UN Group (2013) <a href="https://www.oecd.org/dac/gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-Equality-Marker.pdf</a>. UN Group (2013) <a href="https://www.oecd.org/dac/gender-Equality-Policy-Marker.pdf">https://www.oecd.org/dac/gender-Equality-Policy-Marker.pdf</a>. UN Group (2013) <a href="https://www.oe

<sup>&</sup>lt;sup>3</sup> DFAT (2021) Disability inclusion in the DFAT development program, Good Practice Note April 2021.

Table 6: Gender Equality Marker

Category	Criteria
None/Unaware	<ul> <li>Proposed research project and activity does not recognise the different experiences, needs, interests, roles, barriers and power imbalances for women and is not expected to contribute to gender equality.</li> <li>No disaggregated data provided.</li> </ul>
Sensitive	<ul> <li>Gender equality is not one of the primary objectives of the research project or activity, but demonstrates basic understanding of the different experiences, needs, interests, roles, barriers and access to resources and capacities for women in the community.</li> <li>Some consideration of gender in research team composition and/or research design but limited action to respond to gender differences and the impacts of these differences.</li> <li>Some disaggregated data provided.</li> </ul>
Responsive	<ul> <li>Proposed research recognises the importance of meaningful participation by and representation of women, with recognition that women have different experiences based on other intersecting factors such as age, disability, living in regional areas and so forth.</li> <li>Understands the barriers faced by women with the intention to address these barriers at different levels – household, community, organisational and institutional.</li> <li>Gender equality perspectives and strategies are reflected in both research design as well as partnerships (research team composition and arrangements)</li> <li>Clearly disaggregated data provided.</li> </ul>
Transformative	<ul> <li>Gender equality perspectives and approaches are consistently integrated in the research design, methodology and activities with the advancement of women and/or gender equality as its main outcome.</li> <li>Demonstrated understanding of intersectional approach to research, that is, how gender intersects with other factors like disability, age, geographical barriers, access to resources and so forth, in shaping people's experiences.</li> <li>Aims for inclusive, diverse and equitable representation of women and gender at all levels (research participants, partnerships and stakeholders) ensures that gender equality strategies are appropriately resourced.</li> <li>Women's leadership in the research team is considered critical to the project.</li> <li>Clearly disaggregated data provided and integrated in research design.</li> </ul>

**Grant applicants will be asked to provide a detailed budget to include those with caring responsibilities**. The Gender Marker will be complemented by detail on budget allocations for necessary modifications to enable women and carers to have the same opportunities as people without caring obligations, i.e. reasonable accommodations for people with caring responsibilities. Costings of caring responsibilities may include:

- transport and safety concerns (i.e. will safe transport be made available for women and carers) both in the research team and participants in the research
- costs for carers in the research team and participants in the research.

Guidance on budgeting for caring with responsibilities will be included in the financial instructions for research grant applicants.

#### 8.3.3. Disability Inclusion Marker

The Disability Inclusion Marker provides a tool to allow grant applicants to self-assess the degree to which their proposal has adopted considerations to promote disability rights in its objectives, activities and partnership arrangements. Based on this assessment, grant applicants must select a category: none/unaware, sensitive, responsive or transformative.

**Table 7: Disability Inclusion Marker** 

Category	Criteria
None/Unaware	<ul> <li>Proposed research project and activity does not recognise the different experiences, needs, interests, roles, barriers and power imbalances for people with disability and is not expected to contribute to disability inclusion.</li> <li>No disaggregated data provided.</li> </ul>
Sensitive	<ul> <li>Disability rights is not one of the primary objectives of the research project or activity, but demonstrates basic understanding of the different experiences, needs, interests, roles, barriers and access to resources and capacities for people with disability in the community.</li> <li>Some awareness or consideration of the issues facing people with disability but limited reflection of these issues in the research design.</li> </ul>
Responsive	<ul> <li>Some disaggregated data provided.</li> <li>Proposed research recognises the importance of meaningful participation by and representation of people with disability, with recognition that people with disability have different experiences based on other intersecting factors such as gender, age, living in regional areas and so forth.</li> <li>Understands the barriers faced by people with disability with the intention to address these barriers at different levels – household, community, organisational and institutional.</li> </ul>

\*

	Disability inclusion perspectives and strategies are reflected in
	both research design as well as partnerships (research team
	composition and arrangements).
	Clearly disaggregated data provided.
Transformative	Disability inclusion perspectives and approaches are consistently
	integrated in the research design, methodology and activities
	with the advancement of people with disability and/or disability
	inclusion as its main outcome.
	Demonstrated understanding of intersectional approach to
	research, that is, how disability intersects with other factors like
	gender, age, geographical barriers, access to resources and so
	forth, in shaping people's experiences.
	Aims for inclusive, diverse and equitable representation of
	people with disability at different levels (research participants,
	partnerships and stakeholders) ensures that disability inclusion
	strategies are appropriately resourced.
	Engagement with people with disability and OPDs is considered
	as critical to the research.
	Partnerships have a strong commitment to the principle of
	diversity and inclusion, promoting people with disability in the
	research team.
	Clearly disaggregated data provided and integrated in research
	design.

### Grant applicants will be asked to provide a detailed budget for reasonable

**accommodations**. Disability Inclusion Markers will be complemented by detail on budget allocations for necessary modifications to enable people with disability to have the same opportunities as people without disabilities, i.e. reasonable accommodations for people with disability. Costings of reasonable accommodations may include:

- accessible transport and accessibility (i.e. temporary ramps, assistive devices)
- accessible communications (i.e. subtitles in video announcements, easy-read language formats, sign language interpretation)
- costs for personal assistants or carers in the research team or for research participants.

Guidance on budgeting for reasonable accommodations will be included in the financial instructions for research grant applicants.

This disability marker will assist the Secretariat in monitoring its budget targeting disability inclusion against DFAT's recommendation that adequate funding (approximately 3 to 5 per cent of the budget) has been set aside for ensuring the program or strategy development process is disability inclusive and accessible.<sup>4</sup>

 $<sup>^{4}</sup>$  DFAT (2021) Disability inclusion in the DFAT development program, Good Practice Note April 2021.

#### 8.3.4. Social Inclusion Marker

The Social Inclusion Marker provides a tool to allow grant applicants to self-assess the degree to which their proposal has adopted considerations to promote social inclusion in its objectives, activities and partnership arrangements. Social inclusion can include youth, the elderly, marginalised groups and people living in regional and remote areas. Based on this assessment, grant applicants must select a category: none/unaware, sensitive, responsive or transformative.

**Table 8: Social Inclusion Marker** 

Category	Criteria
None/Unaware	<ul> <li>Proposed research project and activity does not recognise the different experiences, needs, interests, roles, barriers and power imbalances for people who are marginalised and is not expected to contribute to social inclusion.</li> </ul>
Sensitive	<ul> <li>No disaggregated data provided.</li> <li>Social inclusion is not one of the primary objectives of the research project or activity, but demonstrates basic understanding of the different experiences, needs, interests, roles, barriers and access to resources and capacities for people who are marginalised in the community.</li> <li>Some awareness or consideration of the issues facing people who are marginalised but limited reflection of these issues in</li> </ul>
	<ul> <li>the research design.</li> <li>Some disaggregated data provided.</li> </ul>
Responsive	<ul> <li>Proposed research recognises the importance of meaningful participation by and representation of people who are marginalised, with recognition that they have different experiences based on other intersecting factors such as gender, age, disability and so forth.</li> </ul>
	<ul> <li>Understands the barriers faced by people who are marginalised with the intention to address these barriers at different levels – household, community, organisational and institutional.</li> <li>Social inclusion perspectives and strategies are reflected in both research design as well as partnerships (research team composition and arrangements).</li> <li>Clearly disaggregated data provided.</li> </ul>
Transformative	<ul> <li>Socially inclusion perspectives and approaches are consistently integrated in the research design, methodology and activities. with the advancement of a particular marginalised group and/or social inclusion as its main outcome.</li> <li>Demonstrated understanding of intersectional approach to research, that is, how marginalisation intersects with other</li> </ul>

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- factors like gender, age, disability, access to resources and so forth, in shaping people's experiences.
- Aims for inclusive, diverse and equitable representation of people who are marginalised at different levels (research participants, partnerships and stakeholders) ensures that social inclusion strategies are appropriately resourced.
- Engagement with people from marginalised groups is considered as critical to the research.
- Partnerships have a strong commitment to the principle of diversity and inclusion, promoting people who are marginalised in the research team.
- Clearly disaggregated data provided and integrated in research design.

#### 8.4. Impactful research

All collaborative research projects funded by the Secretariat are applied research and must contribute new knowledge for innovation (known as K2I). The Secretariat has identified 3 funding categories, which refer to different expectations of purpose and impact, elaborated on in Section 7 Funding categories. The Secretariat defines 'impact' broadly, from applying new methodologies to making systemic changes. It is recommended that applicants consider innovative approaches to communicating their research throughout the research cycle using both traditional (for example, open access journal articles) and non-traditional approaches (for example, blogs, opinion pieces, short videos).

Impact is weighted 25% in Stage 2 (full proposal) and is assessed on the impact of the research, a stakeholder plan and implementation capacity and partnership impact. These components provide applicants with the opportunity to determine the ability of their partnership to generate maximum impact.

#### 8.5. Use of artificial intelligence in preparing your application

Where applicants use generative AI and AI-assisted technologies in the writing process in preparing the grant applications, these technologies should only be used to improve readability and language of the work. Applying the technology should be done with human oversight and control and applicants should carefully review and edit the result, because AI can generate authoritative-sounding output that can be incorrect, incomplete or biased. Applicants are ultimately responsible and accountable for the content of the work.

Applicants should not list AI and AI-assisted technologies as an applicant or author of the application, nor cite AI as an author. Authorship implies responsibilities and tasks that can only be attributed to and performed by humans. Each applicant is accountable for ensuring that the accuracy and integrity of the application are appropriately addressed. Applicants are also responsible for ensuring before they submit an application that the work is original, that the stated applicants qualify for eligibility, and that the work does not infringe third party rights.

## 9. Stage 1: The Expressions of Interest (EoI) assessment

#### 9.1. Assessment criteria for the Eol

Eligible applicants for the Expressions of Interest (EoI) will be assessed using broad assessment criteria:

- Partnership eligibility: partnerships must include one Australian Principal Organisation and one Indonesian Principal Organisation.
- The research consortium must include at least one local institution in the research location.
- Duration eligibility: project to be completed by 31 December 2026.
- Funding eligibility:
  - Seeding: up to AUD300,000
  - Growing: up to AUD400,000
  - Flourishing: up to AUD500,000
- Alignment with energy transition themes: the project concept provides a clear and justified statement on objective, methods, anticipated outputs, and outcomes that align with the selected topic.
- GEDSI responsiveness: the GEDSI statement is aligned with the GEDSI markers.
- Projected impact and relevant outcomes: the statement clearly identifies the appropriate benefit and impact of the project according to the selected funding and impact categories.

EoI that fail to demonstrate all the assessment criteria will not proceed to Stage 2.

#### 9.2. Completing the Eol

The assessment components are mapped onto the EoI form (see Annex 4 for an indication of submission expectations). The EoI itself will only be accepted through the KONEKSI Management Information System (KONEKSI MISI). The EoI will be assessed and will determine whether your organisations will be invited to participate in Stage 2 (i.e. the full grant application process).

Eligible applicants should follow the process described below.

#### 9.2.1. Create a login

A Principal Organisation must create a login to access the KONEKSI MISI.

#### 9.2.2. Accessing the resource material

Applicants should familiarise themselves with the resources in the KONEKSI MISI.

#### 9.2.3. Begin your application

- **Principal Organisation**: there should be one Australian **and** one Indonesian organisation to be the Principal Organisations.
- Indicative partnership: list other intended partners.

Personnel costs and time allocation must be calculated accordingly to ensure that they are not charging 100 per cent of their time to each project. Organisations and consortiums may

make multiple submissions. If a Principal Organisation makes multiple submissions, these must be treated as separate grant proposals.

#### 9.2.4. Inviting your research team to assess and edit the application

One of the Principal Organisations, either from Australia or Indonesia, must invite the other Principal Organisation to access and collaborate on the application. Additionally, the Principal Organisations can also invite other members of the research consortium to join the process. To invite someone, click 'Invite a Colleague', enter the email address, and select the option that allows invited colleagues to edit the EoI. Then, click 'Send Invitation Email' followed by 'Save & Close.'

#### 9.2.5. Submitting your application

Applications can be saved and amended online before submission. It is your responsibility to ensure that your application is complete and accurate and submitted in accordance with these guidelines.

You cannot change your application after the closing date and time without the prior approval of the Secretariat. If you find a mistake in your application after it has been submitted or if there are changes to contact details or other information pertinent to the completion of the project, as long as it does not change the substance of your application, you should contact The Secretariat at cfpjointcallkemdiktisaintek@koneksi-kpp.id.

#### 9.2.6. Who will assess the EoI?

A Compliance Committee, established by the Secretariat, will undertake the assessment of each expression of interest against the EoI selection criteria. The Compliance Committee will then either approve project concepts to proceed to the next round (Stage 2) or reject the proposal.

#### 9.2.7. Notification of successful Eol

Successful and unsuccessful applicants will be notified by email. Successful applicants will be invited to proceed to Stage 2 and submit a full grant application.

### 10. Stage 2: Grant application process

Upon invitation to Stage 2, applicants are required to submit a full grant application, including both technical and financial components.

#### 10.1. Assessment criteria for applications

You will need to address all of the following assessment criteria in your grant application. The Secretariat will judge your application based on the weighting given to each criterion. The detail and supporting evidence you provide in your application should be commensurate to the project size, complexity and grant amount requested.

#### **Due Diligence Assessment**

- 1. Organisation legal registration.
- 2. Physical address and an official website.
- 3. Organisation experience.

- 4. Relevant organisation policy documents and application.
- 5. Audit arrangements.
- 6. Financial management practices.
- 7. Fraud and corruption.
- 8. Integrity systems.
- 9. Proscribed list check and risk management.
- 10. Prevention of Sexual Exploitation and Harassment (PSEAH) and Child Protection Policy.
- 11. Additional requirement for Indonesian researcher.

#### Proposal assessment criteria

#### 1.1 Topic choice and project quality – 25%

- **1.1.1** Topic alignment and project concept
  - Project concept aligns with just energy transition priority areas and themes (refer to topic maps).
  - Significance, innovation and relevance of the chosen topic in the context of biodiversity.
- **1.1.2** Research merit, rigour and methodology
  - The research project's focus, question and methodology are of a high standard and draw on up-to-date and appropriate academic literature.
  - Clear and well-designed conceptual framework and methodology.
  - Clear and well-supported hypothesis/rationale.
  - Clear project design and appropriate research methods.
  - The project addresses an issue important to advancing the research area.
- **1.1.3** Resources, budget and achievability
  - The adequacy and appropriateness of the budget, including cash and in-kind contributions pledged by the participating organisations.
  - Resources: access to resources, infrastructure, equipment and/or facilities for data collection and completion of the project.
  - Budget appropriateness: the adequacy and appropriateness of the budget, including cash and in-kind contributions pledged by the participating organisations.
  - Achievability of the project's goals within the proposed budget and timeframe.

#### 1.2 Proposed partnerships – 20%

- **1.2.1** Partnership suitability
  - Suitability of Principal Organisation for the chosen research topic and project goals.
  - Suitability of the proposed partners (including the proposed local universities) for the chosen research topic and project goals.
  - Commitment to equity principles in partnership processes and project activities, such as evidence of co-design and plan for co-authoring.
- **1.2.2** Partnership potential
  - Potential of the proposed partnership to create opportunities to generate innovative forms of technology or knowledge transfer.
  - Potential for local benefit (skill, networks, connections).

#### 1.2.3 Local Partnership Participation – 5%

• Participation of at least one local higher education institution in the research location.

#### 1.3 Impact strategy – 25%

- **1.3.1** Relevance of proposed impact to innovation
  - Appropriateness of proposed impact for the innovation area.
  - Feasibility of generating and need to generate impact in innovation area.
  - Appropriateness of the proposed impact for the research activity.
- **1.3.2** Appropriateness of strategies to achieve impact (including stakeholder plan)
  - Relevance and appropriate impact strategies, according to whether the project is seeking to make innovation impact.
  - Stakeholder plan, including integration of stakeholder engagement within the research, and strategies for promotion, and/or dissemination of research outcomes.
- **1.3.3** Implementation capacity for impact
  - Clear and feasible roles and responsibilities, and commitment/ownership from each participating partner towards impact goals.
  - Capacity of the respective partners to deliver on their planned activities for impact.

#### 1.4 GEDSI responsiveness – 25%

- **1.4.1** GEDSI-responsive research design and goals
  - Articulation of the ways in which the project will address inequalities and advance gender equality, disability rights or social inclusion through research design.
- **1.4.2** GEDSI-responsive methods and processes
  - Level of engagement with GEDSI groups through different stages of the research, including co-design and consultation considerations.
  - Identification of appropriate inclusive methodologies.
- **1.4.3** GEDSI-responsive outcomes and impact strategies
  - Identification of appropriate, beneficial and relevant proposed outputs, and outcomes of the research.

#### 10.2. Completing your Stage 2 application

Applications will only be accepted through KONEKSI Management Information System (KONEKSI MISI) and BIMA system.

Applications comprise several components:

#### Step 1:

- Technical proposal: A template demonstrating the application format for Stage 2 Grant Applications can be found in <u>Annex 5</u>; however, you will need to complete this through the KONEKSI MISI.
- Activity plan: A template describing your activity plan will be made available to invited
  applicants at KONEKSI MISI. You will need to download the template, complete it, and
  then upload to the relevant section of your online application.
- **Financial proposal:** A template demonstrating the budget for Stage 2 Grant Applications will be made available to invited applicants at KONEKSI MISI. You will need to download

- the template, complete it, and then upload to the relevant section of your online application. Applicants should keep supporting documents for the budget in their own online storage and provide Kemdiktisaintek–KONEKSI with a link to access them.
- **Due diligence:** Australian Principal Organisations will need to complete the Due Diligence Assessment. The following institutions are exempt from the Due Diligence Assessment:
  - Australian Principal Organisations that are an Australian Education Institution (e.g. a university delivering Australian awards/scholarships).
  - Indonesian Principal Organisations that are registered higher education institutions under KEemdiktisaintek.

To be exempted, Principal Organisations must confirm their organisation's status, check the provided checkbox, complete only Indicator Number 1 to ensure the organisation/institution qualifies for exemption, and sign the certification section at the bottom of the form.

#### Step 2:

The Indonesian Principal Organisation must download the complete submitted proposals and upload it to the BIMA system, as per the following steps:

- The Indonesian Principal Investigator must register all Indonesian researchers of the consortium at the BIMA system. Each researcher must also confirm their participation in the project on the system.
- The uploaded proposal must be the completed form downloaded from MISI KONEKSI
  and must be accompanied by additional documents: the proposed Budget Details (RAB),
  activity plan, the signed endorsement sheet from an authorised official of the institution,
  the curricula vitae of the Indonesian Principal Investigator and Indonesian team
  members.

Please note that applications not submitted to both the KONEKSI MISI and Kemdiktisaintek systems will not be eligible for the next stage.

#### 10.3. Assessment of grant applications

Stage 2 Grant Applications will be processed using 2 synchronous streams of assessment reflecting the categories of grant assessment criteria: one stream for general assessment and due diligence, and another for research merit. These will be combined and hierarchised by the Secretariat, who will develop recommendations.

Table 9: Overview assessment of grant applications

#### Expression of Interest (Stage 1)

**The Secretariat** will undertake the assessment of each organisation that submits an Expression of Interest. The Secretariat will reject or approve project concepts based on the EoI assessment criteria.

#### Full Proposal (Stage 2)

#### STEP 1: The Secretariat

Undertakes a general assessment of proposals and budget, due diligence and security checks.

#### STEP 2: Expert peer review

Expert peer review of the technical component is conducted by Indonesian and Australian research experts. They will assess the technical proposal and budget against the assessment criteria. Incomplete applications will not be assessed.

#### **STEP 3: Academic Review Committee**

Comprising Indonesian academics and Fellows from the Australian Learned Academies. This committee will synthesise grant assessments of shortlisted proposals and produce a list of recommended grant proposals.

#### **Grant Decisions are made**

Based on the expert peer review results, the Secretariat will prepare a list of top-ranked proposals to be presented to the Kemdiktisaintek–DFAT Technical Committee for approval. Upon no-objection, the Government of Indonesia and the Australian Government will then endorse the funding and priority of successful grants.

The Secretariat will undertake due diligence and compliance risk assessments of each organisation that submits a grant application. Any applications that do not meet the assessment criteria will be excluded from further assessment at this stage in the process.

All Stage 2 applications that meet the hurdle requirements in the assessment criteria will be reviewed by academic experts with relevant disciplinary expertise. A pool of experts will first be drawn together comprising appropriate Indonesian and Australian academics in relevant fields, including just energy transition disciplinary expertise, GEDSI-responsive expertise, and Indonesian country expertise. Then, relevant experts from this pool will be identified for each grant proposal and nominated to form specific Expert Peer Review experts for each application.

Experts will be asked to draw on their academic expertise to review grant applications based on the assessment criteria. All reviewers will be required to comply with the Kemdiktisaintek–KONEKSI Joint Call Reviewer Guidelines and Conflict of Interest processes.

The next phase of assessment will be undertaken by an independent Academic Review Committee, comprising a small group of Indonesian academics and Australian Fellows. The purpose of this Committee is to provide oversight of the peer-reviewed application field, seek diversity of proposals across the program, and use its expert judgement to produce a short list of proposals recommended for funding.

The Secretariat will then report to the Kemdiktisaintek–DFAT Technical Committee for a noobjection review of grant recommendations. This committee is made up of representatives from the Australian Government and Government of Indonesia.

DFAT and Kemdiktisaintek will then review applications against their policies, determine successful applicants and make the final approval of grant applications.

# 10.4. Who will approve grants?

KEMDIKTISAINTEK—DFAT Technical Committee as the delegates will then make the final decision to approve a grant based on recommendations from the Secretariat.

The delegate's decision is final in all matters, including:

- the approval of the grant
- the grant funding amount to be awarded.

The Secretariat reserves the right to offer less funding than sought by the applicant. Applicants may choose not to proceed with the grant offered to them. The applicant cannot appeal final grant decisions made by DFAT and Kemdiktisaintek.

# 10.5. Notification of Stage 2 (grant application) outcomes

You will be advised of the outcome of your application in writing via email.

If you are successful, you will also be advised about any specific conditions attached to the grant.

# 11. Successful grant applications

# 11.1. The grant agreement

If you are successful:

- the Australian Principal Organisation will enter into a grant agreement with KONEKSI
- the Indonesian Principal Organisation will enter into a grant agreement with Kemdiktisaintek.

Standard terms and conditions for the grant agreement will apply and cannot be changed. Any additional conditions attached to the grant will be identified in the grant offer or during the grant agreement negotiations.

Note: The Secretariat requires each proposed partner in a selected project to submit a letter of intent to become a sub-contracted partner to the Principal Organisations. This letter is one of the necessary requirements for the contract.

Kemdiktisaintek and KONEKSI will commence discussions on agreements with successful applicants by August 2025. If there are unreasonable delays in finalising a grant agreement, the grant offer may be withdrawn, and the grant may be awarded to a different applicant.

Where a grantee fails to meet the obligations of the grant agreement, Kemdiktisaintek and KONEKSI may withhold or suspend the funding to the grantee and/or require the grantee to

repay all or part of the grant. No compensation is payable for termination in these circumstances.

You should not make financial commitments until a grant agreement has been executed by Kemdiktisaintek and KONEKSI.

# 11.2. How the grant will be paid

The grant agreement will state the maximum grant amount to be paid.

Kemdiktisaintek and KONEKSI will make an initial payment on execution of the grant agreement and on receipt of a valid invoice. They will make subsequent payments, detailed in the grant agreement, progressively based on your interim reports, including acquittals, and receipt of a valid invoice.

Grants will be awarded in the currency reflected in the budget (either AUD or IDR). You are responsible for any financial differences that may occur from the time of the application submission to when the project takes place due to fluctuations in the exchange rate.

# 11.3. Grant agreement variations

The Secretariat recognises that unexpected events may affect the progress of a project. In these circumstances, applicants can request a project variation, including:

- changing project milestones consistent with the original intent of the project
- reasonable extensions in the timeframe for completing the project.

The Kemdiktisaintek–KONEKSI Joint Call does not allow for an increase to the agreed amount of grant funds.

If you wish to propose changes to the grant agreement, you must submit them in writing before the grant agreement end date. Contact the Secretariat for further information at <a href="mailto:cfpjointcallkemdiktisaintek@koneksi-kpp.id">cfpjointcallkemdiktisaintek@koneksi-kpp.id</a>. The Secretariat will not consider changes received after the end date.

You should not assume that a variation request will be successful. The Secretariat will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the just energy transition policy objective and any relevant policies of the department
- changes to the timing of grant payments
- availability of program funds.

#### 11.4. Public announcement of grants

If successful, your grant will be listed on the KONEKSI and Kemdiktisaintek websites within 21 days of the date of effect.

#### 11.5. Feedback

If you are unsuccessful, you may ask for feedback from the Secretariat within one month of being advised of the outcome. The Secretariat will provide written feedback within 3 months of receiving your request.

# 12. Preparing the commencement of your grant

# 12.1. Funding for the Australian Principal Organisation

Kemdiktisaintek–KONEKSI Joint Call adopts a 'Fixed Amount Awards' (FAA) approach to grants payment disbursement for Australian Principal Organisation. Under this approach, tranche payments will be released on approval of 'milestones' or deliverables agreed between KONEKSI and KEMDIKTISAINTEK and each grantee. This enables greater flexibility for partners to manage grants using their own internal financial mechanisms based on auditable accounting standards and avoids overburdening them with detailed financial reporting.

FAA focuses principally on outputs and results, in which the value of the grant to the recipient organisation (grantee) is based on a fixed pre-authorised amount and payment is not based upon the actual costs incurred by the recipient. Grantee accountability is based primarily on performance and results.

FAAs are appropriate when the work that will be performed can be priced with a reasonable degree of certainty in advance. Examples of appropriate mechanisms to establish an appropriate price include the entity's experience with similar types of work for which outcomes and their costs can be reliably predicted, or the entity being able to easily obtain price estimates (e.g. bids, quotes, catalogue pricing) for significant cost elements.

The characteristics of FAAs include the following:

- **Predetermined amount:** The grant specifies a fixed sum of money that will be provided to the recipient. This amount is determined during the grant application process and is agreed upon by both KEMDIKTISAINTEK—KONEKSI and the grantee.
- Grantees are paid the exact amount specified for the deliverables milestone, regardless of whether they spend more or less to complete it.
- Payments are made upon grantee's satisfactory achievement of milestones.
- Verification of actual costs is not a pre-requisite to payment and a financial report is not required.
- Budget flexibility: The grantee has discretion to reasonably re-allocate the grant
  funds during the program implementation according to the project's needs, as long
  as the overall program objectives are achieved within the allocated budget.

#### The process

• **Establishing the grant budget:** The proposed grant budget should be established as fair and reasonable. This involves a thorough review and analysis of the budget proposal.

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- **Setting the award amount:** Once the grant budget has been approved, the award amount is set. This amount is then allocated among the various milestones of the project.
- Allocating milestone payments: The milestone payments are predetermined/preauthorised amounts that are set for each milestone. This amount remains fixed regardless of the actual costs incurred to complete the milestone.
- **Predefined grant amount:** As the grant amount is predetermined, detailed cost reporting based on actual expenses is unnecessary.

Implications for Financial Reporting, Budgeting and Disbursements

# **Financial Reporting:**

- Submission of a financial report with detailed itemised costs, accompanied by expense receipts, is *not* a prerequisite for grant payment and is not required to be submitted to KONEKSI.
- KONEKSI Grantees are required to record all grant financial transactions and prepare
  a financial report in accordance still with their institution's practices
  and requirements. KONEKSI reserves the right to review the report when requested.
- KONEKSI Grantees effectively manage grant allocations to ensure that the predetermined grant amount covers the costs of achieving the milestones.

#### **Budget**:

 The FAA mechanism provides reasonable flexibility for grantees to reallocate funds between budget categories, as long as the total approved budget and the agreedupon objectives and outputs remain unchanged.

#### **Disbursements**:

- Grantees are required to adhere to their institution's own policies and procedures in the disbursement of grant funds.
- The FAA mechanism provides reasonable flexibility for grantees to disburse expenses to meet the actual needs arising during project implementation. However, if actual costs exceed the grant amount, the grantee will be responsible for covering these additional costs.
- This flexibility excludes disbursements intended to cover unallowable costs, as outlined in the Kemdiktisaintek–KONEKSI Joint Call Financial Guidelines.

# 12.2. Funding for the Indonesian Principal Organisation

# **Fund Disbursement:**

- 1. Disbursement of funds is carried out in 2 (two) stages within 1 (one) year.
  - The first stage of disbursement of funds is 70% (seventy per cent) of the total funding per year and includes the disbursement of indirect costs, which are paid at once following the distribution as regulated in the provisions on the amount and components of funds.
  - The second stage of disbursement of funds is 30% (thirty per cent) of the total funding per year. This stage of disbursement of funds can be supplemented with any funds remaining from the first stage which have not been disbursed.

\*

- 2. The disbursement of the second stage of funds is contingent on consideration of research performance (progress) achievements and on consumption of at least 80% (eighty per cent) of first stage funds.
- 3. Any remaining funds that have been disbursed but have not been used by the end of the funding period must be returned to the LPDP.

## 12.3. Engaging partners

Principal Organisations are responsible for legally engaging all partners, defined as an organisation. Engagement can be in the form of a sub-contract with a Partner Organisation. The Principal Organisation is held accountable for the due diligence of all partners. Principal Organisations are recommended to conduct due diligence processes for all partners.

# 12.4. Indemnity insurance

For Australian Principal Organisations, as you are preparing your partnerships, it is recommended that you understand your institutions' requirements on professional indemnity insurance. For example, some Australian Universities require individuals to have a legal entity or professional indemnity insurance. Some Australian professional associations provide indemnity coverage. See the below resource on professional indemnity insurance <a href="https://www.acs.org.au/professionalrecognition/ict-liability-insurance.html">https://www.acs.org.au/professionalrecognition/ict-liability-insurance.html</a>, which covers:

- Professional Indemnity (Errors & Omissions Liability Insurance) AUD10 million
- Public Liability & Product Liability (General Combined Liability Insurance) –
   AUD20 million.

# 12.5. Overview of ethics/permit process

All Kemdiktisaintek–KONEKSI Joint Calls are required to obtain BRIN research permit for their international researchers. All Indonesian Principal Organisations are required to assist international researchers in obtaining research permits from BRIN. The time needed for permit approval and visa processing should be factored into the overall project timeline, with an estimated duration of up to 2 months.

Successful research projects are encouraged to take advantage of the Secretariat expedited service to obtain a research permit for timely commencement of research.

**Note:** There is a fee associated with the research permits. A project which includes the BRIN Research Organisation as a member of the consortium can apply for an exemption from this fee.

# 13. Delivery of grant activities

# 13.1. Your responsibilities

If you receive a grant, you must submit grant activity reports in line with the timeframes in the grant agreement. Sample templates for these reports will be made available by the Secretariat. The Secretariat will expect you to report on:

- progress against agreed project milestones, including GEDSI, specified in your grant agreement
- progress against actively deepening relationships and development of your project

- contributions of participants directly related to the project
- engagement with stakeholders.

# You will also be responsible for:

- meeting the terms and conditions of the grant agreement and managing and promoting the activity efficiently and effectively
- complying with record keeping, reporting and acquittal requirements as set out in the grant agreement
- all administrative arrangements associated with your project including visa and travel arrangements, visa charges, airport taxes, ground transport, travel and health insurance for project participants' medical and hospital insurance cover both overseas and in Australia for visitors not covered by Medicare (including evacuation and death cover), and necessary insurance for equipment and accommodation costs and arrangements
- other insurances, including workers' compensation, as required by law, and professional indemnity, public health and liability insurance, as required by the project
- complying with all applicable domestic and international laws.

# 13.2. The Secretariat's responsibilities

# The Secretariat will:

- meet the terms and conditions set out in the grant agreement
- provide timely administration of the grant
- evaluate the grantee's performance
- provide technical support on issues of partnerships, impact and GEDSI.

The Secretariat will monitor the progress of your project by assessing reports submitted by the Principal Organisation and may attend project events and conduct site visits to confirm details of your reports if necessary. Occasionally the Secretariat may need to re-examine claims, seek further information or request an independent audit of claims and payments.

Funding granted by the Secretariat to your organisation does not indicate that the Department of Foreign Affairs and Trade and Kemdiktisaintek endorse the views of grantees involved in any funded activity.

# 13.3. Reporting and output requirements of a Kemdiktisaintek–KONEKSI Joint Call

The main purpose of the Kemdiktisaintek–KONEKSI Joint Call is to fund research. The Secretariat aims to be as 'light touch' as possible in its reporting requirements. The process of partnerships and the experiences of preparing and implementing research as well as sharing the results of the research are of interest to the Secretariat. The grant cycle begins when the Principal Organisation signs the grant agreement. Table 10 and Table 11 provides a short overview of reporting requirements and responsibilities.

Table 10: Reporting requirements

Requirement	Deadline	Responsible party
Quarterly Progress Update	End of the first annual quarter and of each quarter thereafter for the duration of the grant	Australian Principal Organisation in collaboration with Indonesian Principal Organisation and partners
Partnership Plan	End of the first annual quarter	Australian Principal Organisation in collaboration with Indonesian Principal Organisation and partners
Stakeholder Engagement Plan	End of the first annual quarter	Australian Principal Organisation in collaboration with Indonesian Principal Organisation and partners
GEDSI Implementation Approach	End of the first annual quarter	Australian Organisation submits in collaboration with partners
Partnership Health Check	In the first month and every tenth month thereafter	Australian <b>and</b> Indonesian principal organisations, and each partner in a research partnership
Final Research Report	End of the project	Australian Principal Organisation in collaboration with Indonesian Principal Organisation and partners
Financial Audit Report	End of the project	Australian Principal Organisation
Monthly activity report to eRISPRO LPDP	End of month	Indonesian Principal Organisation
Monthly financial report to eRISPRO LPDP	End of month	Indonesian Principal Organisation
Annual report to eRISPRO	End of year	Indonesian Principal Organisation

Table 11: Required outputs for Indonesian Principal Organisations

Funding Category: Seeding	
Year 1	Year 2
Q1/Q1 equivalent Article An article which presents a formulated technology concept grounded in foundational research, establishing a framework for further experimental proof of concept.	Q1/Q1 equivalent Article An article which focuses on research on the improvement following the first year of study, demonstrating that the technology has been experimentally validated, establishing its potential for further development and application in real-world contexts.
<u>Deliverables:</u>	
Proof of an article under review where the Indonesian lead is the author, preferably the first or corresponding author.	<u>Deliverables:</u> Proof of an article under review where the Indonesian lead is the author, preferably the first or corresponding author.
Model	Feasibility Report
Structured representations which simulate or illustrate complex phenomena, processes, or systems.	Models which demonstrate the feasibility of theoretical concepts through initial validation, offering a structured framework that can effectively guide future research and
<u>Deliverables:</u>	practical applications.
Model documentation or model	
description document	<u>Deliverables:</u> Feasibility Report
Funding Category: Growing	
Year 1	Year 2
Q1/Q1 equivalent Article An article which presents a formulated technology concept grounded in foundational research, establishing a framework for further experimental proof of concept.  Deliverables:	Q1/Q1 equivalent Article An article which focuses on research on the improvement following the first year of study, demonstrating that the technology has been experimentally validated, establishing its potential for further development and application in real-world contexts.
Proof of an article under review where the	Deliverables:
Indonesian lead is the author, preferably the first or corresponding author.	Proof of an article under review where the Indonesian lead is the author, preferably the first or corresponding author.
Prototype	Validated Prototype
A functional version of the technology, developed according to specific design specifications, undergoes rigorous testing in a laboratory to evaluate its	A prototype which has been developed and validated in a relevant environment closely simulating real-world conditions.
performance, safety and reliability under controlled conditions.	<u>Deliverables:</u> Field Test Reports

# **Deliverables:**

Lab Test Reports and Technical **Specifications Document** 

#### Model

A structured representation of a theoretical concept or system which is designed to simulate real-world processes or behaviors and undergoes rigorous testing and validation in a lab setting, ensuring it accurately reflects the phenomenon it aims to represent.

# **Deliverables:**

Validation Reports and Technical **Specifications Document** 

#### **Advanced Model**

An improved version that integrates feedback and data from earlier laboratory validations and is subsequently tested in environments that closely replicate actual operational conditions.

## Deliverables:

**Field Validation Reports** 

# **Funding Category: Flourishing**

# Year 1 Q1/Q1 equivalent Article

An article which presents a formulated technology concept grounded in foundational research, establishing a framework for further experimental proof of concept.

#### Deliverables:

Proof of an article under review where the Indonesian lead is the author, preferably the first or corresponding author.

#### Year 2

# Q1/Q1 equivalent Article

An article which focuses on research on the improvement following the first year of study, demonstrating that the technology has been experimentally validated, establishing its potential for further development and application in real-world contexts.

# Deliverables:

Proof of an article under review where the Indonesian lead is the author, preferably the first or corresponding author.

# **Fully Developed Prototype**

The prototype indicates that it has been successfully demonstrated in an operational environment, confirming its readiness for full-scale deployment and commercialisation.

# **System Prototype Demonstration**

Demonstrate that the system operates effectively in an operational environment.

# Deliverables:

Lab Test Reports and Technical **Specifications Document** 

#### Deliverables:

Operational Validation Report and **Compliance and Certification Report** 

#### **Intellectual Property**

The parameters used as intellectual property assets are patents, simple patents, trademarks, geographical indications, industrial designs, integrated circuit layout designs, plant variety protection, and copyrights.

#### **Intellectual Property**

The parameters used as intellectual property assets are patents, simple patents, trademarks, geographical indications, industrial designs, integrated circuit layout designs, plant variety protection, and copyrights.

# **Deliverables:**

Final draft of intellectual property (except for copyrights; if the output is copyrights, the deliverable must be obtained copyrights)

# **Deliverables:**

Register of intellectual property (except for copyrights; if the output is copyrights, the deliverable must be obtained copyrights)

### Dissemination of the research result

Delivering or disseminating research findings to stakeholders or relevant parties who require the application of the research results.

#### Dissemination of the research result

Delivering or disseminating research findings to stakeholders or relevant parties who require the application of the research results.

#### Deliverables:

- Modules or training based on the concepts/models/prototypes of research results
- Maintenance/sustainability of the concepts/models/prototypes of research results
- Implementation of the concepts/models/prototypes of research results

# **Deliverables:**

- Modules or training based on the concepts/models/prototypes of research results
- Maintenance/sustainability of the concepts/models/prototypes of research results
- Implementation of the concepts/models/prototypes of research results

# 13.3.1.KONEKSI Quarterly Progress Updates

The KONEKSI Quarterly Progress Updates are required from the Australian Principal Organisation in collaboration with the Indonesian Principal Organisation and partners.

The KONEKSI Quarterly Progress Updates are aimed at providing timely information on activity progress, implementation risks, key outputs and the 'reach' of these outputs among 'knowledge users' – intermediaries, industry groups or other networks and coalitions. Tracking 'reach' or 'engagement' with these actors is particularly important for understanding how well a piece of collaborative research is positioned to influence innovation practice (K2I). The following 5 monitoring questions will be addressed throughout the grant implementation process:

- Did we do what we expected to do?
- What challenges affected our research project?
- How did we work together?
- How did we engage the relevant stakeholders, networks and potential users of our research activities?
- What signs of uptake, use and influence have we seen?

#### 13.3.2.KONEKSI Partnerships Plan

The KONEKSI Partnerships Plan is required from the Australian Principal Organisation in collaboration with the Indonesian Principal Organisation and partners.

The KONEKSI Partnerships Plan encourages partners to consider how they will address issues in each partnership plan pillar, and what indicators the project team will develop to

measure activity success and team engagement. Within the first quarter of a successful grant, the plan should be developed together with all partners and tailored specifically to ensure the success of the research project. A set of questions are developed by the Secretariat to guide this process as described in <a href="Secretariat">Section 8.2 Partnership plan</a>. The Secretariat will provide information sessions and workshops to support the development of the Partnership Plan in the first quarter of a grant.

# 13.3.3. Stakeholder Engagement Plan

The aim of the stakeholder engagement plan in a research project is to identify and assess key individuals, groups or organisations that have an interest in or are impacted by the research. This process helps ensure that the research aligns with stakeholder needs, fosters effective collaboration and anticipates any potential challenges, leading to more relevant and impactful outcomes.

# 13.3.4. GEDSI Implementation Approach

The aim of the GEDSI (Gender Equality, Disability and Social Inclusion) Implementation Approach is to ensure that research or project activities are inclusive, equitable and accessible for all, regardless of gender, age, disability, or social background. This approach seeks to identify and address barriers that may prevent the full participation of diverse groups, ensuring that the project's outcomes benefit everyone, particularly those who are often marginalised.

# 13.3.5.Partnerships Health Check

The Partnerships Health Check is required from the Australian and Indonesian principal organisations, and each partner in a research partnership.

A checklist in <u>Annex 6 – Partnership health check</u> is structured around the 4 key partnership pillars: Context, Relationships, Resources and Work Processes for each partner in a research partnership to complete.

# 13.3.6. Final Research Report

The aim is to provide a comprehensive summary of the entire project, highlighting the research findings and recommendations, while also assessing the challenges faced, how GEDSI was applied, and the expected impacts in terms of use and uptake. Focusing on these key aspects ensures that the report provides a full picture of both the research and the project.

#### 13.3.7. Financial Audit Report

The audit aims to ensure that grant funds provided to the Principal Organisation through KONEKSI are utilised in accordance with the terms of the grant agreement while assessing the Principal Organisation's financial management practices and internal control systems. Its objective is to provide an independent assessment, in the form of an auditor's opinion, on the financial statements and compliance with grant terms by verifying the accuracy and completeness of financial records, evaluating the adequacy of internal controls, ensuring adherence to grant conditions, assessing the efficiency and effectiveness of fund utilisation, and identifying any instances of fraud, waste or abuse.

The audit must be conducted within the last quarter before project completion, and the audit report, which include the auditor's opinion, must be submitted to KONEKSI as one of the final deliverables.

## 13.3.8. Financial and Activity Report for Indonesian Principal Organisation

The Indonesian Principal Organisation must submit financial and activity reports monthly, following the guidance from LPDP. All project outputs should display the logos of Kemdiktisaintek and LPDP. Additionally, the KONEKSI logo must be used in accordance with the KONEKSI Brand Guidelines.

The Secretariat will make sure that the grant process is fair, accords with published guidelines, and incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct.

# 14. Probity

The Secretariat will ensure that the grant process is fair, in accordance with the published guidelines, and implement safeguards against fraud, unlawful activities and other inappropriate conduct.

Note: The Secretariat reserves the right to revise the Kemdiktisaintek–KONEKSI Joint Call Guidelines. If this happens, the revised guidelines will be published on the KONEKSI and Kemdiktisaintek websites.

# 14.1. Complaints and applicant feedback process

The Secretariat will make available all questions submitted to the Secretariat in the Frequently Asked Questions page on the KONEKSI website at <a href="https://www.koneksi-kpp.id">www.koneksi-kpp.id</a>.

All complaints about the grant process must be lodged in writing to <a href="mailto:cfpjointcallkemdiktisaintek@koneksi-kpp.id">cfpjointcallkemdiktisaintek@koneksi-kpp.id</a>.

The Secretariat will not provide feedback to unsuccessful applicants at the EoI stage.

The Secretariat will only provide feedback to unsuccessful Stage 2 grant applications who request feedback. There are 3 components of feedback, graded to assist applicants in identifying the relative overall position of their application. The Secretariat will provide requested feedback within 3 months of an email request.

Table 12 provides an example of the feedback the Secretariat will provide from the Stage 2 expert peer review assessment of a research proposal. The Secretariat will provide information on your application's quartile from 1st to 4th for each criteria, with 1st being the lowest scoring quarter of unsuccessful applications, and 4th being the highest scoring. This information is based on the expert peer reviewers scores form.

Table 12: Example of Secretariat feedback

Proposal title	Research ABC

Requestor	Dr XYZ		Email:		
Assessment Criteria	Topic Choice and Project Quality	Proposed Partnership	Impact Strategy	GEDSI Responsiveness	Overall Score
Score 1					
Score 2					
Overall Rank	Fourth quartile of unsuccessful applications within the scheme round				

#### 14.2. Conflict of interest

Any conflict of interest could affect the performance of the grant. There may be a conflict of interest, or perceived conflict of interest, if the Department of Foreign Affairs and Trade, Government of Indonesia, or any member of the assessment committee and/or you or any of your personnel:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an Australian or Indonesian civil servant
- has a relationship with an organisation which is likely to interfere with or restrict the applicants from carrying out the proposed activities fairly and independently
- has a relationship with, or interest in, an organisation from which they will receive personal gain if the organisation receives funding under the biodiversity grant.

You will be asked to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest.

If you later identify that there is an actual, apparent or potential conflict of interest or that one might arise in relation to a grant application, you must inform the Secretariat in writing immediately. Committee members and other officials must also declare any conflicts of interest.

# **Annexes**

# Annex 1 – Topic map

# Kemdiktisaintek-KONEKSI Joint Call

The following topic map identifies priority topics for the Government of Indonesia on the issue of just energy transition. Only topics which fall within these categories will be eligible. The research focus provides examples of the type of research that will be funded.

Close alignment with the example research focus is recommended.

The Secretariat welcomes research project applications in the following areas:

Sub-Topic	Example of research focus
Developing inclusive technology solutions	<ul> <li>Identify and analyse the accessibility of technology and energy solutions for communities including women, people with disability and remote-area populations.</li> <li>Analyse and address community challenges in energy transition including in adaptation and uptake of the new technology.</li> <li>Identify and analyse efficient and affordable transport and infrastructure for communities in the location which is facing phase-out of energy transition.</li> <li>Implement feasibility studies and develop place-based solutions for urban areas and rural off-grid electrification using renewable energy solutions, such as small island isolated grids.</li> </ul>
Advancing inclusive workforce participation and access to community financing	<ul> <li>Inform labour and market resilience related to the green workforce of the future, including in jobs transition, skills acquisition and local resource utilisation.</li> <li>Assess alternative livelihoods to mitigate negative impacts of energy transitions for communities, particularly women, people with disability and those living in remote areas.</li> <li>Identify and overcome barriers to participation and leadership in the energy transition workforce for women, people with disability and those living in rural/urban communities.</li> <li>Generate insights relating to financial barriers and access to financing opportunities, including innovative community financing models to support an accelerated energy transition.</li> </ul>
Building inclusive social and environmental safeguards and processes	<ul> <li>Assess and develop innovations supporting equitable energy transition that considers environmental and social safeguards.</li> <li>Assess and develop approaches to raise public awareness and increase community literacy regarding energy transition.</li> <li>Assess accessibility to communities of information about energy transition and potential sites for renewables, with particular</li> </ul>

	attention on women, people with disability and socially excluded
	populations.
_	Dramata practices for inclusive design, manitoring and

 Promote practices for inclusive design, monitoring and implementation for energy transition initiatives.

# Annex 2 – Technology Readiness Level<sup>5</sup>

TRL 1	<b>Basic Research.</b> Initial scientific research has been conducted and reported. Principles are qualitatively postulated and observed. Focus is on new discovery rather than applications.
TRL 2	<b>Basic Research.</b> Concept formulation and/or application. Initial practical applications are identified. Potential of material or process to solve a problem, satisfy a need or find an application is confirmed.
TRL 3	<b>Basic Research.</b> Critical Function or Proof of Concept Established. Applied research advances and early-stage development begins. Studies and laboratory measurements validate analytical predictions of separate elements of the technology.
TRL 4	Applied Research. Lab testing/validation of alpha prototype component/process: design, development and lab testing of components/processes. Results provide evidence that performance targets may be attainable based on projected or modelled systems.
TRL 5	<b>Applied Research.</b> Validation process of component and/or subsystem is achieved in a relevant environment.
TRL 6	<b>Applied Research.</b> System/process prototype demonstration in an operational environment (beta prototype system level).
TRL 7	<b>Developmental Research.</b> Prototype system verified: system/process prototype demonstration in an operational environment (beta prototype system level).
TRL 8	<b>Developmental Research.</b> System incorporated in commercial design: actual system/process completed and qualified through test and demonstration (precommercial demonstration).
TRL 9	<b>Developmental Research.</b> System proven and ready for full commercial deployment: actual system proven through successful operations in operating environment and ready for full commercial deployment.

4

<sup>&</sup>lt;sup>5</sup> Ministerial Regulations of the Minister of Education, Culture, Research and Technology Number 42 of 2016 concerning Measurement and Establishment of Technology Readiness Level

# Annex 3 – KONEKSI Due Diligence Assessment for Australian Principal Organisation template (to be submitted in MISI)

If your organisation is an Australian Education Institution you are exempted from the Secretariat's due diligence process. To confirm your organisation's status as an Australian Education Institution, please mark the checkbox provided below, complete only Indicator Number 1 to ensure your organisation/institution is registered in the Australian Register of Higher Education Providers and sign the certification section at the bottom of this form.

Australian Principal Organisation's legal	
name:	
Partner Organisation(s):	
Research title:	
Grant period:	
Research location:	

☐ Our organisation/institution is registered with the Australian legal and policy compliance standards and accountability mechanisms.

No.	Indicator	Applicant response	Evidence/supporting documents
		Please provide detailed and	Please provide supporting documentation or a link
		comprehensive responses for each	to the information to substantiate your responses.
		indicator. This will enable the	
		Secretariat to thoroughly assess	
		your organisational capacity to	
		manage grants and give us	
		confidence regarding any risks	
		associated with partnering with	
		your organisation. A simple 'Yes' or	
		'No' response without further	
		explanation will be considered	
		insufficient, and the Secretariat	

-

		may view your organisation as posing a high risk for partnership.	
1	Organisation legal registration  The Secretariat requires this to verify the legitimacy of the Applicant.  If your organisation/institution is an Australian education institution, please confirm it is registered in the National Register   Tertiary Education Quality and Standards Agency (teqsa.gov.au).		<ul> <li>a. Institution registration from relevant government/accreditation body(ies).</li> <li>b. If the organisation operates in Australia, registration information such as Australian Business Number (ABN), Australian Company Number (ACN), Indigenous Corporation Number (ICN) or Australian Charities and Not-for-profits registration details.</li> <li>c. Tax registration number.</li> </ul>
2	Physical address and official website  The Secretariat requires the establishment of the applicant's existence by obtaining its physical address and the official website that serves as the primary source of information about the organisation. This enables the Secretariat to assess the alignment of the organisation with the Secretariat's objectives and gain insights into the organisation's transparency and commitment to public engagement.		a. Current physical address. b. Official website.

3	<ul> <li>Organisation's experience</li> <li>Any recent and relevant performance information (e.g. external or independent evaluations and reviews or professional referees).</li> <li>List of recent activities or projects that demonstrate partnerships and collaborations with other organisations or details of subgranting arrangements.</li> </ul>	<ul> <li>a. Independent evaluation reports or letter of reference from previous activities. This letter should verify previous work or grants undertaken.</li> <li>b. List of activities or links to publications from previous projects.</li> </ul>
4	Relevant organisation policy documents and applications  The Secretariat is required to ensure that grant funds are provided to organisations which demonstrate strong governance, ethical conduct and a commitment to preventing fraud and corruption that aligns with DFAT and Kemdiktisaintek's mission and values.  • Does the organisation have the following documents in place: General Administrative Policy, Accounting and Financial Policy, Procurement Policy, Code of Conduct, Fraud Control Policy, Anti-Corruption Bribery Policy, and Conflict of Interest Policy?  • Does senior leadership, including the board, demonstrate commitment to these policies through consistent adherence and promotion?  • Are these policy documents easily accessible to all employees?	<ul> <li>a. Copy of or link to the relevant policies or business process SOPs.</li> <li>b. Copy of or link to the acknowledgement or consent forms from employees and board members indicating their commitment to policy compliance.</li> <li>c. Copy or link to the training materials and content (evidence that trainings were conducted).</li> </ul>

	Are these policy documents regularly reviewed		
	and updated to ensure clarity and relevance?		
	<ul> <li>Do employees, including board members,</li> </ul>		
	acknowledge their understanding of and		
	commitment to complying with these policies?		
	Does the organisation conduct regular training		
	sessions and orientation programs to ensure		
	that employees understand these policies?		
	Does the organisation send regular reminders		
	and updates about these policies to employees		
	to keep them informed?		
5	Audit arrangements	-	a. Copy of or link to financial audit reports for the
			last 3 years
	<ul> <li>Is the organisation subject to audit, and how</li> </ul>		
	often?		
	Who conducted the audits and what were the		
	audit outcomes for the last 3 years?		
	How does the management of the organisation		
	follow audit findings?		
6	Financial management practices	6	a. Copy of or link to relevant policies or business
			process SOPs related to financial management
	Who prepares, reviews and approves the		practices.
	budget?		
	<ul> <li>How is the budget used to support planning,</li> </ul>		
	performance assessment/monitoring and		
	decision making?		
	Who has the authority to issue payments?		
	Who performs the bookkeeping and		
	accounting and who reviews their work?		

	<ul> <li>Are the accounting and finance function shared with others?</li> <li>How does the organisation make payments (cash, bank transfer etc.)?</li> <li>Does the organisation use a computerised accounting system to record financial transactions and generate statements?</li> <li>How are accounting and financial documents stored and secured and for how long are they retained?</li> </ul>	
7	<ul> <li>If applicable, details of current or recent (last 2 years) fraud- or corruption-related investigations by external parties on the organisation or related entities.</li> <li>Does the organisation sign a statement to express commitment and accept responsibility for not allowing fraud or corruption?</li> <li>Does the organisation provide training and awareness programs on fraud and corruption prevention?</li> <li>Does the organisation have a whistleblower protection mechanism?</li> </ul>	<ul> <li>a. Identify any previous case of fraud and corruption and its resolution (if any).</li> <li>b. Copy of or link to the anti-fraud policies and procedures.</li> </ul>
8	<ul> <li>Integrity systems</li> <li>Does the organisation have robust ethics policies in place?</li> <li>Are conflict of interest mechanisms established?</li> </ul>	<ul> <li>a. Copy of the organisation's written code of conduct and ethics policies.</li> <li>b. Documentation of conflict-of-interest disclosure procedures.</li> <li>c. Documentation of any public financial disclosures or reports.</li> </ul>

	<ul> <li>Is financial transparency maintained to ensure openness and accountability?</li> <li>Are internal controls and governance practices regularly evaluated?</li> </ul>	d. Documentation of corrective actions taken in response to audit findings.
9	<ul> <li>Proscribed list check and risk management</li> <li>Confirm that the organisation is not on any government sanction lists.</li> <li>Confirm that the organisation does not engage with individuals or entities involved in illegal activities or terrorism.</li> <li>How does the organisation check the CV integrity of prospective employees, contractors or project partners?</li> <li>Does the organisation use criminal history checks to screen prospective employees, contractors or project partners? If so, under what circumstances?</li> </ul>	a. <a href="https://www.nationalsecurity.gov.au/what-australia-is-doing/terrorist-organisations/listed-terrorist-organisations">https://www.dfat.gov.au/international-relations/security/sanctions/consolidated-list</a>
10	<ul> <li>Prevention of Sexual Exploitation and Harassment (PSEAH) &amp; Child Protection Policy</li> <li>Does the organisation have a PSEAH &amp; Child Protection policy and manual?</li> <li>Does the organisation have a PSEAH &amp; Child Protection risk assessment policy, system or practice?</li> <li>Does the organisation have evidence of PSEAH &amp; Child Protection training for its staff?</li> </ul>	a. Any relevant policy or SOPs on PSEAH and Child Protection.

# Certification

I hereby certify that the information provided in this form is true and correct to the best of my knowledge. On behalf of the organisation, I also acknowledge that any deliberate dishonesty may result in the rejection of this application or the immediate termination of the grant.

Signature:

Name:

Title:

Date:

# Annex 4 – Kemdiktisaintek–KONEKSI Joint Call Expression of Interest template

Applicants must use the KONEKSI MISI system to enter the information detailed below. Call for Proposals: Supporting an Equitable and Just Energy Transition in Indonesia.

#### **INSTRUCTIONS**

- 1. Your partnership must comprise one Australian and one Indonesian principal organisation, as well as at least one local Indonesian university located within the research area to ensure regional engagement and collaboration.
- 2. The Indonesian Principal Organisation must be an Indonesian Higher Education institution under Kemdiktisaintek.
- 3. The Principal Organisations are required to complete all sections of this application on the KONEKSI Management Information System (KONEKSI MISI) and submit before the deadline.
- 4. The Principal Organisations must complete the fields at MISI according to their country of origin: the Australian Principal Organisation on the left and the Indonesian Principal Organisation on the right. You can select your organisation from the drop-down list in MISI. If your organisation is not listed, click the '+' button to add it manually. When doing so, please ensure that the organisation name and the faculty/ department name are entered separately, as distinct fields are provided for each.
- 5. The Indonesian Principal Investigator must be an Indonesian citizen (WNI), hold a doctoral degree (S3), be a permanent lecturer for the duration of the project period, and not currently be studying.
- 6. Please avoid use of acronyms, quotation marks and upper-case characters, apart from KONEKSI, Kemdiktisaintek, GEDSI, and K2I.

If you have any technical difficulties filling out this form, please contact: cfpjointcallkemdiktisaintek@koneksi-kpp.id

Part 1: Application details		
Project title	< <insert 2="" applications="" be="" edited="" for="" may="" stage="" text="" this="" –="">&gt;</insert>	
Field of Research (FoR)		
Code	Dropdown menu (see KONEKSI MISI)	
Select <b>up to 2</b> FoR codes		
that relate to your		
proposal		
Activity start & end date	Projected Start:	
Activity start & end date	Projected End:	
Total funding request		
proposed (AUD)		

,

# Part 2: Project categories

Please nominate your project funding category:

Proposed funding category	Please select one
Seeding (Up to AUD300,000)	
Growing (up to AUD400,000)	
Flourishing (up to AUD500,000)	

# Part 3: Partnership eligibility

Your partnership must comprise at least one Australian and one Indonesian principal organisation. Please note that it is essential to include at least one local Indonesian university located within each research location to ensure regional engagement and collaboration.

# **Principal Organisations**

Principal Organisation 1 (Australia)	
Name of organisation	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)
Legal registration number (Australian Business Number)	
	< <insert address="" of="" organisation="">&gt;</insert>
Address of organisation	State
	Country
Website of organisation	< <insert organisation="" url="" website="">&gt;</insert>
Name of Principal Investigator 1	< <insert 1="" investigator="" name="" of="" principal="">&gt;</insert>
Email of Principal Investigator 1	< <insert 1="" address="" email="" investigator="" of="" principal="">&gt;</insert>
Mobile phone/WhatsApp number of	< <insert mobile="" number<="" phone="" td="" whatsapp=""></insert>
Principal Investigator	of Principal Investigator 1>>

Principal Organisation 2 (Indonesia)	
Name of organisation	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)

Legal registration number (Indonesian institutional registration number)	
	< <insert address="" of="" organisation="">&gt;</insert>
Address of organisation	Province
	Country
Website of organisation	< <insert organisation="" url="" website="">&gt;</insert>
Name of the Principal Investigator 2	< <insert investigator<="" name="" of="" principal="" td=""></insert>
. G	2>>
Email of the Principal Investigator 2	< <insert address="" email="" of="" principal<="" td=""></insert>
Email of the Frincipal investigator 2	Investigator 2>>
Mobile phone/WhatsApp number of	< <insert mobile="" number<="" phone="" td="" whatsapp=""></insert>
Principal Investigator 2	of Principal Investigator 2>>

# **Partner Organisation(s)**

Stage 2 full grant applications should include a comprehensive list of all Partner Organisations involved in the project. You are welcome to add any known and potential additional partners at the EoI stage. Please note that it is essential to include **at least one local Indonesian university located within each research location** to ensure regional engagement and collaboration.

Proposed Partner Organisation(s) to be involved	
Name of organisation (1)	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)
Legal registration number (Indonesian	
institutional registration number/Australian	
Business Number)	
Name, email and mobile phone/WhatsApp	< <insert and="" email="" mobile<="" name,="" td=""></insert>
number of contact person in Partner	phone/WhatsApp number of contact
Organisation 1	person in Partner Organisation 1>> This
	contact should
Name of organisation (2)	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)

Legal registration number (Indonesian institutional registration number/Australian Business Number)	
Name, email and mobile phone/WhatsApp number of contact person in Partner Organisation 2	< <insert 2="" and="" contact="" email="" in="" mobile="" name,="" number="" of="" organisation="" partner="" person="" phone="" whatsapp="">&gt;</insert>
Name of organisation (3)	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)
Legal registration number (Indonesian institutional registration number/Australian Business Number)	
Name, email and mobile phone/WhatsApp number of contact person in Partner Organisation 3	< <insert 3="" and="" contact="" email="" in="" mobile="" name,="" number="" of="" organisation="" partner="" person="" phone="" whatsapp="">&gt;</insert>
Option to add more Partner Organisation(s)	

# Part 4: Proposed research & approach Nominate topic(s)

Choose relevant theme and topic (See Annex 1 – Topic Map):

Topic	Sub-topic	Please select sub-topic(s) from dropdown menu (can be more than one)
Supporting an Equitable and Just Energy Transition in	Developing inclusive technology solutions	Dropdown menu (see KONEKSI MISI)
Indonesia	Advancing inclusive workforce and participation and access to community financing	Dropdown menu (see KONEKSI MISI)
	Building inclusive social and environmental safeguards and processes	Dropdown menu (see KONEKSI MISI)

# **Project Concept**

**Describe your chosen topic and approach as per the EoI assessment criteria.** Provide a Project Concept statement of no more than 300 words with a summary of the proposed research, including objective(s), methods, anticipated outputs and outcomes.

Projected title: (Please re-provide here. This will not be part of the word limit)

<<Insert text>>

# **GEDSI** responsiveness

Select one or more categories and indicate the degree to which your project impacts the following categories (see <u>Section 8.3 GEDSI in research</u> or click the marker description icon).

Disability Inclusion Marker	Select one or more categories (see KONEKSI MISI)
Gender Equality Marker	Select one or more categories (see KONEKSI MISI)
Social Inclusion Marker	Select one or more categories (see KONEKSI MISI)

**Describe your chosen approach to GEDSI.** Please do not exceed 200 words and aim to summarise GEDSI analysis, objectives, methods, outputs and outcomes. (We accept that there may be overlap from your answer in the Project Concept section above).

<<Insert text>>

#### **Proposed impact strategy**

Outline your proposed strategy to generate impact, in relation to the intended benefit and impact of the project. Refer to the funding types in the Guidelines (see <u>Section 7.1 Grant and project types</u>). Please do not exceed 200 words.

<<Insert text>>

4

# Annex 5 – Kemdiktisaintek–KONEKSI Joint Call for Proposals: Supporting a Just and Equitable Energy Transition in Indonesia technical proposal template

#### **INSTRUCTIONS**

- 1. Please complete all fields below.
- 2. The Principal Organisations (Australian and Indonesian) are required to complete all sections of this application on the KONEKSI Management Information System (KONEKSI MISI) and must submit before the deadline.
- 3. There are some pre-populated sections from your Expression of Interest application. Please review and edit them accordingly.
- 4. Please avoid use of acronyms, quotation marks and upper-case characters, apart from KONEKSI, Kemdiktisaintek, GEDSI, and K2I.
- 5. The timeframe for a KEMDIKTISAINTEK–KONEKSI Joint Call for Supporting a Just and Equitable Energy Transition in Indonesia, from commencement (August 2025) to completion, must not exceed 31 December 2026
- 6. Fill out the form in the fields identified with <<text>> (add as necessary).

If you have any technical difficulties filling out this form, please contact: cfpjointcallkemdiktisaintek@koneksi-kpp.id

# Part 1: Application details

Project title	< <insert text="">&gt;</insert>
Field of Research (FoR) code	Dropdown menu (see KONEKSI MISI)
Activity start & end date	Projected start: Projected end:
Total funding request proposed (AUD)	

# Part 2: Project categories

Please nominate your project funding category:

Proposed funding category	Please select one
Seeding (Up to AUD300,000)	
Growing (up to AUD400,000)	
Flourishing (up to AUD500,000)	

# Part 3: Partnership eligibility

Your partnership must comprise at least one Australian and one Indonesian principal organisation. Please note that it is essential to include at least one local Indonesian

university located within each research location to ensure regional engagement and collaboration.

Principal Organisation 1 (Australia)	
Name of organisation	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	(Dropdown menu: see KONEKSI MISI)
Legal entity status	(Dropdown menu: see KONEKSI MISI)
Legal registration number (Australian Business Number)	
	< <insert address="" of="" organisation="">&gt;</insert>
Address of organisation	State
	Country
Website of organisation	< <insert organisation="" url="" website="">&gt;</insert>
Name of Principal Investigator 1	< <insert 1="" investigator="" name="" of="" principal="">&gt;</insert>
Email of Principal Investigator 1	< <insert 1="" address="" email="" investigator="" of="" principal="">&gt;</insert>
Mobile phone/WhatsApp number of	< <insert mobile="" number<="" phone="" td="" whatsapp=""></insert>
Principal Investigator 1	of Principal Investigator 1>>

Principal Organisation 2 (Indonesia)	
Name of organisation	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	(Dropdown menu: see KONEKSI MISI)
Legal entity status	(Dropdown menu: see KONEKSI MISI)
Legal registration number (Indonesian	
institutional registration number)	
	< <insert address="" of="" organisation="">&gt;</insert>
Address of organisation	Province
	Country
Website of organisation	< <insert organisation="" url="" website="">&gt;</insert>
Name of Principal Investigator 2	< <insert 2="" investigator="" name="" of="" principal="">&gt;</insert>

Email of Principal Investigator 2	< <insert 2="" address="" email="" investigator="" of="" principal="">&gt;</insert>				
Mobile phone/WhatsApp number of Principal Investigator 2	< <insert 2="" investigator="" mobile="" number="" of="" phone="" principal="" whatsapp="">&gt;</insert>				

# **Partner Organisation(s)**

Stage 2 full grant applications should include a comprehensive list of all Partner Organisations involved in the project. We invite you to add any known and potential additional partners at the EoI stage.

Proposed Partner Organisation(s) to be involved	
Name of organisation (1)	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)
Legal registration number (Indonesian institutional registration number/Australian Business Number)	
Name, email and mobile phone/WhatsApp	< <insert and="" email="" mobile<="" name,="" td=""></insert>
number of contact person in Partner	phone/WhatsApp number of contact
Organisation 1	person in Partner Organisation 1>>
Name of organisation (2)	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)
Legal registration number (Indonesian	
institutional registration number/Australian	
Business Number)	
Name, email and mobile phone/WhatsApp	< <insert and="" email="" mobile<="" name,="" td=""></insert>
number of contact person in Partner	phone/WhatsApp number of contact
Organisation 2	person in Partner Organisation 2>>
Name of organisation (3)	< <insert name="" of="" organisation="">&gt;</insert>
Type of organisation	Dropdown menu (see KONEKSI MISI)
Legal entity status	Dropdown menu (see KONEKSI MISI)

Legal registration number (Indonesian institutional registration number, Australian Business Number)	
Name, email and mobile phone/WhatsApp number of contact person in Partner Organisation 3	< <insert 3="" and="" contact="" email="" in="" mobile="" name,="" number="" of="" organisation="" partner="" person="" phone="" whatsapp="">&gt;</insert>
Options to add more Partner Organisation(s)	

# Part 4: Proposed research & approach

# Nominate topic(s)

Topic	Sub-topic	Please select sub-topic(s) from dropdown menu (can be more than one)
Supporting a Just and Equitable Energy Transition in	Developing inclusive technology solutions	Dropdown menu (see KONEKSI MISI)
Indonesia	Advancing inclusive workforce and participation and access to community financing	Dropdown menu (see KONEKSI MISI)
	Building inclusive social and environmental safeguards and processes	Dropdown menu (see KONEKSI MISI)

# **Project Concept and approach**

Please review the Project Concept statement of no more than 300 words with a summary of the proposed research, including objective(s), methods, anticipated outputs and outcomes.

Projected title: (Please re-provide here. This will not be part of the word count.)

<<Insert text>>

# Resources, budget and achievability

In no more than 300 words, describe the adequacy and appropriateness of the proposed resources in your budget.

<<Insert text>>

## **Feasibility statement**

In no more than 100 words, please indicate why you believe your proposed research and impact is achievable within the proposed budget and timeline.

<<Insert text>>

# **Proposed research location**

Please indicate your research location(s)

Research location in Indonesia province

Research location in Australian State

# Proposed partnership Partnership suitability

In no more than 200 words, describe why your organisation and partners are best suited for the proposed project and committed to Kemdiktisaintek–KONEKSI equity principles.

<< Insert text>>

# **Partnership potential**

In no more than 200 words, describe how your proposed partnerships will create innovative forms of knowledge and potential for local benefit.

<<Insert text>>

# **Impact strategy**

# Describe your research impact goals as per your intended impact path

In no more than 200 words, provide a statement outlining your strategy to achieve the intended benefit and impact of the project. See <u>Section 7</u> Funding categories (Seeding, Growing or Flourishing)

<<Insert text>>

# Stakeholder plan

In no more than 200 words, provide a statement outlining your plan to engage appropriate stakeholders to achieve your impact strategy. 'Stakeholders' refers to a group of actors who are not listed as partners on your proposal but nonetheless play a critical role in ensuring that your project has maximum impact throughout the project cycle. Applicants should articulate a plan to identify the most appropriate and relevant groups of actors who will be engaged, understand the project, and help it achieve impact.

<<Insert text>>

# Implementation capacity for impact

In no more than 200 words, provide a statement outlining each institutional partner's roles and responsibilities to achieve impactful implementation.

<< Insert text>>

## **GEDSI** responsiveness

Confirm the categories and the degree to which your project impacts on the following categories (see <u>Section 8.3 GEDSI in research</u>) or click the marker description icon on the KONEKSI MISI.

Disability Inclusion Marker	Select one or more categories (see MISI)
Gender Equality Marker	Select one or more categories (see MISI)
Social inclusion Marker	Select one or more categories (see MISI)

# **GEDSI-responsive research design and goals**

In no more than 200 words, identify the ways in which the project will address inequalities and advance GEDSI. We accept that there may be some duplication from the section on Project Concept and approach (relating to your GEDSI marker).

<<Insert text>>

#### **GEDSI-responsive methods and processes**

In no more than 200 words, identify the project's level of engagement with GEDSI groups through different stages of the research, including consideration for co-design and consultation.

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# **GEDSI-responsive outcomes and impact strategies**

In no more than 200 words, identify relevant proposed outputs and outcomes of the research.

<< Insert text>>

# Part 5: Activity plan

Please download the template provided and upload it to the KONEKSI MISI.

## Part 6: Due diligence

Please complete the Due Diligence Assessment online (Indonesian and Australian universities are exempted from completing this form *by confirming and certifying their institution status*).

# Part 7: Budget

Please download the template provided to submit the proposed budget and upload to the KONEKSI MISI.

Supporting document(s) for the budget should be kept in the applicant's own online storage and Kemdiktisaintek–KONEKSI provided with a link to access them. Kemdiktisaintek–KONEKSI will ask applicants to submit supporting documents for the financial proposal. Applicants are welcome to submit supporting documents early.

#### Part 8: KEMDIKTISAINTEK-KONEKSI Joint Call Public Statement

In no more than 100 words, provide a plain language statement that demonstrates how the proposed activity can be of value to supporting equitable collaborative research partnerships between Australia and Indonesia. This statement will be used on the Kemdiktisaintek–KONEKSI website if your application is successful.

<< Insert text>>

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#### Part 9: Certification

- 1. The applicant, to the best of its current knowledge did not provide, within the previous 10 years, and will take all reasonable steps to ensure that it does not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts, as that term is defined in Paragraph 3 below.
- 2. The applicant must use its best endeavours to ensure that funds provided under the contract do not provide direct or indirect support or resources to organisations associated with terrorism. If, during the contract, the Applicant discovers any link whatsoever with any organisation or individual associated with terrorism it must inform Kemdiktisaintek–KONEKSI immediately. A full list of listed persons and entities is available from DFAT at <a href="https://www.dfat.gov.au/international-relations/security/sanctions/consolidated-list">https://www.dfat.gov.au/international-relations/security/sanctions/consolidated-list</a>. A list of listed 'terrorist organisations' is available from National Security Australia at: <a href="https://www.nationalsecurity.gov.au">www.nationalsecurity.gov.au</a>
- 3. The following steps may enable the applicant to comply with its obligations under Paragraph 1:
  - a) Before providing any material support or resources to an individual or entity, the applicant also will verify that the individual or entity has not been designated by the United Nations Security Council (UNSC) sanctions committee established under UNSC Resolution 1267 (1999) (the 1267 Committee) [individuals and entities linked to the Taliban, Osama bin Laden, or the Al Qaida Organisation]. To determine whether there has been a published designation of an individual or entity by the 1267 Committee, the recipient should refer to the consolidated list available online at the Committee's website:

    https://www.un.org/securitycouncil/content/un-sc-consolidated-list.
  - b) Before providing any material support or resources to an individual or entity, the applicant will consider all information about that individual or entity of which it is aware and all public information that is reasonably available to it or of which it
  - c) The applicant also will implement reasonable monitoring and oversight procedures to safeguard against assistance being diverted to support terrorist activity.

# 4. For purposes of this Certification:

should be aware.

- a) 'Material support and resources' means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safe-houses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation and other physical assets, except medicine or religious materials.
- b) 'Terrorist act' means: an act prohibited pursuant to one of the 12 United Nations Conventions and Protocols related to terrorism (see the United Nations terrorism conventions website: <a href="http://untreaty.un.org/English/Terrorism.asp">http://untreaty.un.org/English/Terrorism.asp</a>)
  - An act of premeditated, politically motivated violence perpetrated against non-combatant targets by sub-national groups or clandestine agents.

- Any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in hostilities in a situation of armed conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organisation to do or to abstain from doing any act.
- c) 'Entity' means a partnership, association, corporation or other organisation, group or subgroup.
- d) The applicant's obligations under Paragraph 1 above are not applicable to the procurement of goods and/or services by the applicant that are acquired in the ordinary course of business through contract or purchase, e.g. utilities, rents, office supplies, gasoline etc., unless the applicant has reason to believe that a vendor or supplier of such goods and services commits, attempts to commit, advocates, facilitates or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.
- **5.** On behalf of the applicant organisation, I understand and acknowledge that this concept note's submission does not guarantee any funding or technical support from the Kemdiktisaintek–KONEKSI Joint Call. The solutions proposed do not contravene the intellectual property or other rights of any other organisation.

Organisation: < <insert text="">&gt;</insert>	Date: < <insert text="">&gt;</insert>
Name of authorised personnel:	Signature:
< <insert text="">&gt;</insert>	

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# Annex 6 – Partnership health check

The partnership health check is conducted twice in one grant: after the grant agreement is signed, and at the end of the grant period. All partners are expected to conduct the health check. The information is used by the Secretariat to chart changes and provide evidence of changes in partnerships which contribute to the KONEKSI end of program goal of increased mutual understanding.

Partnership 'good health' indicators		
1. Context		
Partners understand the research and policy context of the proposed project.		
Partners are aware of prior projects in this area, and the knowledge-to-innovation gap in this area.		
Partners have identified and familiarised themselves with key literature on their project.		
Partners are aware of any significant challenges facing the project (if challenges exist, please list and discuss in your team).		
2. Goals and objectives		
The partnership's goals are well articulated internally and externally.		
Partners are motivated and inspired towards a common goal.		
Objectives are mutually agreed upon by partners.		
The partnership maintains relevance and stays on track to achieve its goals and objectives.		
The partnership is doing what it set out to do.		
3. Relationships		
Partners understand each other's points of view or interests and are clear about each other's motivations.		
There is trust in the partnership.		
Partners can rely on each other to complete agreed upon tasks.		
Partners offer to help each other and collectively solve problems.		
Partner roles are clearly defined within the team.		
Partners feel empowered and enabled in the project.		
Partners are able to learn from each other and share best practices openly.		

Partners have considered their own positionality in the team, and		
how it might affect the project.		
Partners have considered their own unconscious bias(es), and how it		
might affect the project.		
4. Resources – the partnership has sufficient access to:		
General skills, e.g. communication and organisational skills		
Technical skills to complete the project		
Knowledge and skills on the role of GEDSI in research		
Knowledge and skills in knowledge to innovation		
Knowledge and skills in knowledge to policy		
Partnering and other relevant experience		
Important networks or spheres of influence		
Facilities, e.g. office and meeting space, equipment		
Financial or other resources		
5. Work processes		
Project deliverables and timeframes are clear.		
Individuals take responsibility for their deliverables.		
Process for receiving/distributing funding is effective.		
Communication and documentation processes are effective.		
Monitoring and reporting process is effective, and partners have an		
equal say in its design and implementation.		
There is clarity around project objectives at the start of the process and beyond.		
Communication of all kinds is sufficiently frequent.		
Information is accessible and translation of materials is provided where necessary.		
Partners are transparent about their assumptions, goals, needs, drivers and constraints.		
Partners are regularly and consistently present at meetings.		
Adequate records are kept of team meetings, and documentation supports partners in making decisions.		

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# Annex 7 – Acronyms

Al Artificial Intelligence

ABN Australian Business Number
ACN Australian Company Number

BAPPENAS National Development Planning Agency (Indonesia)
BRIN National Research and Innovation Agency (Indonesia)

CfP Call for Proposals

DFAT Department of Foreign Affairs and Trade (Australia)

Eol Expression of Interest

EOIO End of Investment Outcomes

FAA Fixed Amount Award
Gol Government of Indonesia

GEDSI Gender Equality, Disability and Social Inclusion

GST Goods and Services Tax

ICN Indigenous Corporation Number

Kemdiktisaintek Ministry of Higher Education, Science, and Technology (Indonesia)

KONEKSI Kolaborasi Pengetahuan, Inovasi, dan Teknologi or Knowledge,

Innovation, and Technology Collaboration (also known as the Knowledge Partnership Platform, KPP). The English translation is

'connection'.

K2I knowledge to innovation K2P knowledge to policy

MISI KONEKSI Management Information System

ODA Official Development Assistance
TRL Technology Readiness Level
WIB Western Indonesia Time

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